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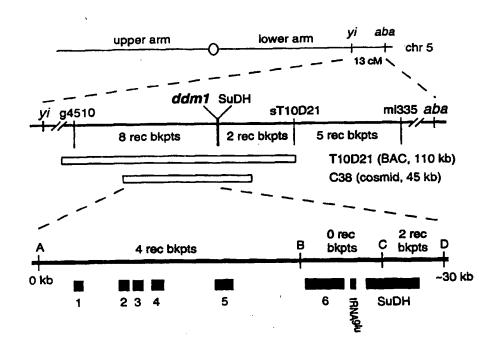
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#### (57) Abstract

A novel gene, DDM1, and its encoded protein are provided. The gene was isolated from a region of Arabidopsis thaliana chromosome 5. DDM1 appears to be part of the SWI2/SNF2 family of chromatin-remodeling proteins. Disruption of the gene results in DNA hypomethylation, among other phenotypes. The DDM1 gene defines a novel member of the DNA methylation system. Methods of using DDM1, and transgenic organisms comprising DDM1, are also provided.

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#### PLANT GENE THAT REGULATES DNA METHYLATION

Pursuant to 35 U.S.C. §202(c), it is acknowledged that the U.S. Government has certain rights in the invention described herein, which was made in part with funds from the National Science Foundation, Grant Nos. MCB9306266 and BIR9256779.

This application claims priority to U.S.

Provisional Application Serial No. 60/\_\_\_\_\_\_, filed

April 30, 1998, and to U.S. Application No. 09/104,070,

filed June 24, 1998 the entireties of which are
incorporated by reference herein.

#### FIELD OF THE INVENTION

This invention relates to the field of plant molecular biology, genetic engineering and regulation of gene expression. In particular, this invention provides a novel gene, *DDM1*, which plays an important role in the regulation of DNA methylation, and resultant regulation of gene expression, in plant genomic DNA.

#### 20 BACKGROUND OF THE INVENTION

Various publications or patents are cited in this application to describe the state of the art to which the invention pertains. Each of these publications or patents is incorporated by reference herein.

Plant genomes contain substantial amounts of 5-methylcytosine. Up to 20-30% of the cytosines are methylated in the nuclear genome of many flowering plants. As in other organisms, methylation of cytosine

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residues in plants occurs post-replicatively through the action of cytosine-DNA methyltransferases. Plant DNA methyltransferases have been characterized biochemically, and plant genes encoding these enzymes have been isolated by virtue of their similarity to their mammalian counterparts.

Investigations of native plant genes and transgenic plants containing foreign genes have found a general correlation between transcriptional inactivity and increased DNA methylation, consistent with evidence from mammalian systems. This evidence supports a role for cytosine methylation in maintaining transcriptional states.

The plant's need for developmental plasticity and environmental interaction suggests that plants extensively employ epigenetic regulatory strategies. Such strategies rely on heritable, often reversible, changes in access to the underlying genetic information, but not alteration of the primary nucleotide sequence. As one example, the alteration of DNA methylation is expected to perturb plant development significantly, provided that differential DNA methylation is an important component of epigenetic regulation in plants.

One paradigm linking DNA methylation and developmental regulation comes from work on the mouse, where average genome cytosine methylation levels in embryonic lineages drop sharply in the early cleavages following fertilization, then rise again around the time of implantation. In plants, a similar pattern has been observed in studies of DNA methylation content in pollen and post-embryonic tissue of varying age. Information from such studies indicates that there is a gradual rise in 5-methylcytosine levels in post-embryonic tissues

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produced by meristems at positions further from the base. of the plant (i.e., tissues of increasing age). Genetic studies of transposon systems in maize also demonstrate an age-dependent gradient of increasing epigenetic modification, which is correlated with DNA methylation.

Both biochemical and genetic approaches have been taken to alter DNA methylation in eucaryotic organisms. Methylation inhibitor treatments have induced developmental abnormalities in many plant species.

- Transgenic plants expressing antisense molecules specific for a native cytosine methyltransferase gene have been found to exhibit genomic hypomethylation, presumably due to the antisense interference with expression of the gene.
- In another approach, mutants of Arabidopsis
  thaliana have been isolated, which show a decrease in DNA
  methylation (ddm) resulting in reduced nuclear 5methylcytosine levels. The best characterized mutations
  define the DDM1 gene. Homozygotes carrying recessive
  ddm1 alleles contain 30% of the wild-type levels of 5
  - methylcytosine. The *ddml* mutations do not map to the two known cytosine-DNA methyltransferase genes of *A. thaliana*, nor do they affect DNA methyltransferase activity detectable in nuclear extracts (Kakutani et al.,
- Nuc. Acids Res. 23: 130-137, 1995). In addition, ddm1 mutations do not appear to affect the metabolism of the active methyl group donor, S-adenosylmethionine (Kakutani et al., 1995, supra).

For the foregoing reasons, the *DDM1* gene

30 product is likely to be a novel component of the DNA
methylation system, or involved in determining the
cellular context (e.g., chromatin structure, subnuclear
localization) of the methylation reaction. Consequently,

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it would be a clear advance in the art of plant molecular and cellular biology to identify and isolate the DDM1 gene and/or its encoded protein. Such a gene and protein would find utility for the purpose of modifying the methylation status of a selected genome and thereby altering one or more regulatory features of gene expression from that genome.

#### SUMMARY OF THE INVENTION

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A novel gene, *DDM1*, and its encoded protein are provided in accordance with the present invention. The gene has been identified as a novel element of the DNA methylation system.

In one aspect of the invention, an isolated 15 nucleic acid molecule comprising a gene located on Arabidopsis thaliana chromosome 5, lower arm, is provided. The gene occupies a segment of chromosome 5, lower arm, which is flanked on the centromeric side within 20 kilobases by a gene encoding a zinc-finger 20 protein and on the telomeric side within 1 kilobase by a gene encoding a glutamic acid tRNA. Disruption of the gene is associated with DNA hypomethylation. The gene encodes a polypeptide of about 764 amino acids in length. The nucleotide sequence of the DDM1 gene is set forth 25 herein as SEQ ID NO:1 and its deduced amino acid sequence In SEQ ID NO:1, the regions of the gene as SEQ ID NO:2. that comprise coding sequence are indicated.

In another aspect of the invention, an isolated DDM1 gene is provided, having a sequence selected from the group consisting of: (a) SEQ ID NO:1; (b) an allelic variant or natural mutant of SEQ ID NO:1; (c) a sequence hybridizing with part or all of SEQ ID NO:1 or its complement and encoding a polypeptide substantially the

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same as part or all of a polypeptide encoded by SEQ ID NO:1; (d) a sequence encoding part or all of a polypeptide having amino acid SEQ ID NO:2; and (e) a sequence encoding part or all of a polypeptide contained in the cosmid clone C38, designated ATCC Accession No. 207208.

According to another aspect of the invention, a polypeptide is provided, which is produced by expression of an isolated nucleic acid molecule comprising part or all of an open reading frame of a gene located on Arabidopsis thaliana chromosome 5, lower arm, the gene occupying a segment of chromosome 5, lower arm, flanked on the centromeric side within 20 kilobases by a gene encoding a zinc-finger protein and on the telomeric side within 1 kilobase by a gene encoding a glutamic acid tRNA. This polypeptide preferably has the amino acid sequence of part or all of SEQ ID NO:2.

According to another aspect of the invention, an isolated protein encoded by an Arabidopsis thaliana gene is provided, which is a member of an SWI2/SNF2 family of polypeptides. Loss of function of the protein is associated with DNA hypomethylation. The protein is encoded by a gene located on A. thaliana chromosome 5, lower arm, centromerically flanked within 20 kilobases by a zinc finger-encoding gene and telomerically within one kilobase by a gene encoding a glutamic acid tRNA.

According to another aspect of the invention, a transgenic organism comprising the *DDM1* gene is provided. In one embodiment, the transgenic organism is a plant.

In other aspects of the invention, methods are provided for stabilizing fidelity of DNA methylation in an organism, which comprise transforming the organism with the DDM1 gene. Methods are also provided for

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reducing or eliminating gene silencing in a plant, or for inducing inbreeding depression in a plant, which comprise inhibiting or preventing expression of an endogenous *DDM1* gene of the plant.

These aspects of the invention, as well as other features and advantages of the invention, will be described in greater detail in the description and examples set forth below.

#### 10 BRIEF DESCRIPTION OF THE DRAWINGS

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Figure 1. Map-based isolation of the A. thaliana DDM1 gene. A genetic map of the region of A. thaliana chromosome 5 containing the DDM1 gene is shown at the top of the figure (see Example 1). The relative 15 sizes of the genetic intervals were determined by the number of recombination breakpoints (rec bkpts) scored in a panel of recombinant lines containing cross-overs between flanking markers yi and aba. The regions represented in genomic clones T10D21 and C38 are denoted 20 by the open boxes below the genetic map. The ~30 kb interval containing the DDM1 gene, defined by the genetic markers A and D, is shown at the bottom of the figure. The number of recombination breakpoints scored between markers A - D and ddm1-2 are indicated. The position of 25 predicted coding regions in the interval are numbered and shown below the physical map. BAC, bacterial artificial chromosome; SuDH, succinate dehydrogenase structural gene.

Figure 2. DDM1 gene structure and

identification. Fig. 2A: The intron/exon structure of the DDM1 gene. Protein-coding exons are shown as open boxes, with the start and stop codons indicated. Introns are depicted as thin lines. The position and nature of

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four ddm1 alleles are indicated above the exon/intron Fig. 2B: RT-PCR analysis of ddm1-2 and wild-type DDM1 transcripts. The approximate positions of oligonucleotide primers used in the analysis are shown 5 below the map in Fig. 2A. Amplifications were done on either genomic templates (DNA), first-strand cDNA templates (+RT, plus reverse transcriptase), or mocksynthesized cDNA (-RT, minus reverse transcriptase). Amplified products were separated on a 3% agarose gel and 10 visualized after ethidium bromide staining. Amplification from cDNA representing the properly spliced transcript resulted in a ~280 bp product. The nucleotide sequence of the ~220 bp product amplified from ddm1-2 cDNA template indicated that the mutation leads to use of an alternate splice donor 56 bp upstream of the wild-type 15 splice donor site.

Figure 3. The A. thaliana DDM1 gene encodes a SWI2/SNF2-like protein. The deduced primary amino acid sequence of DDM1 (At DDM1) is aligned with two other 20 SWI2/SNF2-like protein sequences, Mus musculus lymphocyte specific helicase (Mm LSH; SEQ ID NO:4) and human SNF2h (Hs SNF2h; SEQ ID NO:5). Sequence identities are indicated by black boxes and conservative changes are The positions of the eight signature motifs characteristic of SNF2 family proteins are indicated 25 below the aligned sequences. Amino acid coordinates are indicated on the left; only the N-terminal 730 amino acids (of 1052 total) are shown for human SNF2h, though SEQ ID NO:5 shows the entire protein sequence. deletion/frameshift caused by the ddm1-2 allele occurs at . 30 amino acid 524. The ddml-6 frameshift occurs at amino acid 379, leading to translation of an additional 52 amino acids out of frame. The ddml-7 nonsense mutation

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occurs at amino acid 549. Dashes indicate gaps introduced by the CLUSTAL W algorithm to maximize alignment (Thompson et al., Nucleic Acids Res. 22: 4673-4680, 1994). The alignment was processed by BOXSHADE v. 3.21.

#### DETAILED DESCRIPTION OF THE INVENTION

#### I. <u>Definitions</u>

Various terms relating to the biological

10 molecules of the present invention are used throughout the specification and claims.

With reference to nucleic acids of the invention, the term "isolated nucleic acid" is sometimes used. This term, when applied to DNA, refers to a DNA molecule that is separated from sequences with which it is immediately contiguous (in the 5' and 3' directions) in the naturally occurring genome of the organism from which it was derived. For example, the "isolated nucleic acid" may comprise a DNA molecule inserted into a vector, such as a plasmid or virus vector, or integrated into the genomic DNA of a procaryote or eucaryote. An "isolated nucleic acid molecule" may also comprise a cDNA molecule.

With respect to RNA molecules of the invention the term "isolated nucleic acid" primarily refers to an RNA molecule encoded by an isolated DNA molecule as defined above. Alternatively, the term may refer to an RNA molecule that has been sufficiently separated from RNA molecules with which it would be associated in its natural state (i.e., in cells or tissues), such that it exists in a "substantially pure" form (the term "substantially pure" is defined below).

With respect to protein, the term "isolated protein" or "isolated and purified protein" is sometimes

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used herein. This term refers primarily to a protein produced by expression of an isolated nucleic acid molecule of the invention. Alternatively, this term may refer to a protein which has been sufficiently separated from other proteins with which it would naturally be associated, so as to exist in "substantially pure" form.

The term "substantially pure" refers to a

preparation comprising at least 50-60% by weight the compound of interest (e.g., nucleic acid, oligonucleotide, protein, etc.). More preferably, the preparation comprises at least 75% by weight, and most preferably 90-99% by weight, the compound of interest. Purity is measured by methods appropriate for the compound of interest (e.g. chromatographic methods, agarose or polyacrylamide gel electrophoresis, HPLC analysis, and the like).

Nucleic acid sequences and amino acid sequences can be compared using computer programs that align the similar sequences of the nucleic or amino acids thus define the differences. In the comparisons made in the present invention, the CLUSTLW program and parameters employed therein were utilized (Thompson et al., 1994, supra). However, equivalent alignments and similarity/identity assessments can be obtained through the use of any standard alignment software. For instance, the GCG Wisconsin Package version 9.1, available from the Genetics Computer Group in Madison, Wisconsin, and the default parameters used (gap creation penalty=12, gap extension penalty=4) by that program may also be used to compare sequence identity and similarity.

The term "substantially the same" refers to nucleic acid or amino acid sequences having sequence variation that do not materially affect the nature of the

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protein (i.e. the structure, stability characteristics, substrate specificity and/or biological activity of the protein). With particular reference to nucleic acid sequences, the term "substantially the same" is intended to refer to the coding region and to conserved sequences governing expression, and refers primarily to degenerate codons encoding the same amino acid, or alternate codons encoding conservative substitute amino acids in the encoded polypeptide. With reference to amino acid sequences, the term "substantially the same" refers generally to conservative substitutions and/or variations in regions of the polypeptide not involved in determination of structure or function.

The terms "percent identical" and "percent 15 similar" are also used herein in comparisons among amino acid and nucleic acid sequences. When referring to amino acid sequences, "percent identical" refers to the percent of the amino acids of the subject amino acid sequence that have been matched to identical amino acids in the compared amino acid sequence by a sequence analysis 20 "Percent similar" refers to the percent of the amino acids of the subject amino acid sequence that have been matched to identical or conserved amino acids. Conserved amino acids are those which differ in structure 25 but are similar in physical properties such that the exchange of one for another would not appreciably change the tertiary structure of the resulting protein. Conservative substitutions are defined in Taylor (1986, J. Theor. Biol. 119:205). When referring to nucleic acid 30 molecules, "percent identical" refers to the percent of the nucleotides of the subject nucleic acid sequence that have been matched to identical nucleotides by a sequence analysis program.

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With respect to antibodies, the term
"immunologically specific" refers to antibodies that bind
to one or more epitopes of a protein of interest, but
which do not substantially recognize and bind other
molecules in a sample containing a mixed population of
antigenic biological molecules.

With respect to oligonucleotides or other single-stranded nucleic acid molecules, the term "specifically hybridizing" refers to the association

10 between two single-stranded nucleic acid molecules of sufficiently complementary sequence to permit such hybridization under pre-determined conditions generally used in the art (sometimes termed "substantially complementary"). In particular, the term refers to

15 hybridization of an oligonucleotide with a substantially complementary sequence contained within a single-stranded DNA or RNA molecule, to the substantial exclusion of hybridization of the oligonucleotide with single-stranded nucleic acids of non-complementary sequence.

A "coding sequence" or "coding region" refers to a nucleic acid molecule having sequence information necessary to produce a gene product, when the sequence is expressed.

The term "operably linked" or "operably

inserted" means that the regulatory sequences necessary
for expression of the coding sequence are placed in a
nucleic acid molecule in the appropriate positions
relative to the coding sequence so as to enable
expression of the coding sequence. This same definition

is sometimes applied to the arrangement other
transcription control elements (e.g. enhancers) in an
expression vector.

Transcriptional and translational control sequences are DNA regulatory sequences, such as

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promoters, enhancers, polyadenylation signals, terminators, and the like, that provide for the expression of a coding sequence in a host cell. In particular, as used herein, the term "DNA transcriptional response element" refers to a DNA sequence specifically recognized for binding by a DNA binding protein characterized as a transcriptional regulator (either activator or suppressor).

The terms "promoter", "promoter region" or 10 "promoter sequence" refer generally to transcriptional regulatory regions of a gene, which may be found at the 5' or 3' side of the coding region, or within the coding region, or within introns. Typically, a promoter is a DNA regulatory region capable of binding RNA polymerase 15 in a cell and initiating transcription of a downstream (3' direction) coding sequence. The typical 5' promoter sequence is bounded at its 3' terminus by the transcription initiation site and extends upstream (5' direction) to include the minimum number of bases or 20 elements necessary to initiate transcription at levels detectable above background. Within the promoter sequence is a transcription initiation site (conveniently defined by mapping with nuclease S1), as well as protein binding domains (consensus sequences) responsible for the 25 binding of RNA polymerase.

A "vector" is a replicon, such as plasmid, phage, cosmid, or virus to which another nucleic acid segment may be operably inserted so as to bring about the replication or expression of the segment.

The term "nucleic acid construct" or "DNA construct" is sometimes used to refer to a coding sequence or sequences operably linked to appropriate regulatory sequences and inserted into a vector for transforming a cell. This term may be used interchangeably with the term "transforming DNA". Such a

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nucleic acid construct may contain a coding sequence for. a gene product of interest, along with a selectable marker gene and/or a reporter gene.

The term "reporter gene" refers to genetic sequences which may be operably linked to a promoter region forming a transgene, such that expression of the reporter gene coding region is regulated by the promoter and expression of the transgene is readily assayed.

The term "selectable marker gene" refers to a gene product that when expressed confers a selectable phenotype, such as antibiotic resistance, on a transformed cell or plant.

The term "DNA construct" is sometimes used herein to refer to genetic sequence used to transform plants and generate progeny transgenic plants. These constructs may be administered to plants in a viral or plasmid vector. Other methods of delivery such as Agrobacterium T-DNA mediated transformation and transformation using the biolistic process are also contemplated to be within the scope of the present invention. The transforming DNA may be prepared according to standard protocols such as those set forth in "Current Protocols in Molecular Biology", eds.

Frederick M. Ausubel et al., John Wiley & Sons, 1995.

A cell has been "transformed" or "transfected" by exogenous or heterologous DNA construct when such DNA has been introduced inside the cell. The transforming DNA may or may not be integrated (covalently linked) into the genome of the cell. In prokaryotes, yeast, and plant cells for example, the transforming DNA may be maintained on an episomal element such as a plasmid. With respect to eukaryotic cells, a stably transformed cell is one in which the transforming DNA has become integrated into a chromosome so that it is inherited by daughter cells

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through chromosome replication. This stability is demonstrated by the ability of the eukaryotic cell to establish cell lines or clones comprised of a population of daughter cells containing the transforming DNA. A "clone" is a population of cells derived from a single cell or common ancestor by mitosis. A "cell line" is a clone of a primary cell that is capable of stable growth in vitro for many generations.

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# II. Description of DDM1 and its Encoded Polyeptide

In accordance with the present invention, a

novel gene, DDM1, has been isolated from the flowering
plant Arabidopsis thaliana. Through analysis of mutant
plants, this gene has been identified as important for
the maintenance of proper genomic cytosine methylation,
and its function appears to be necessary to maintain gene
silencing. Biochemical and molecular genetic results
indicate that DDM1 encodes a novel component of the DNA
methylation machinery.

We have isolated the DDM1 gene from A. thaliana using a map-based cloning approach, which is described in detail in Example 1 and shown in Figure 1. Briefly, the DDM1 gene was initially localized to the bottom of the lower arm of chromosome 5 by reference to molecular markers segregating in an F2 family (parental cross: Columbia ddm1/ddm1 X Landsberg erecta DDM1/DDM1). Next, recombination breakpoints in the region surrounding a ddm1 mutation were isolated by collecting cross-over chromosomes by reference to flanking genetic markers. The recombination breakpoints delimited a region of approximately 30 kilobases. Cloned DNA corresponding to this genomic region was isolated by subcloning DNA from a

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bacterial artificial chromosome (BAC) containing molecular markers mapping both proximal and distal to the ddml marker. The nucleotide sequence of a single cosmid subclone encompassing the 30 kb region was determined to identify six candidate genes, in addition to a tRNA gene and a previously identified succinate dehydrogenase structural gene.

The search for the DDM1 gene focused on predicted genes 5 and 6, which fell in the center of the genetic interval defined by recombination breakpoints with the ddm1-2 marker. The DDM1 gene was identified as predicted gene 6 based on DNA sequence alterations in four ddm1 alleles (Figure 2). The EMS-generated ddm1-2 mutation is a G to A transition in the splice donor site of intron 11 that forces the use of an alternate splice donor site 56 bp upstream in exon 11 (Fig. 2B). splicing defect leads to a deletion, a frameshift and premature translation termination upstream of predicted functional domains. The fast neutron-generated ddm1-5 (previously named som8; Mittelsten Scheid, O., Afsar, K. & Paszkowski, J. Proc. Natl. Acad. Sci. USA 95: 632-637, 1998).) allele contains an 82 bp insertion (1 bp deleted and replaced with 83 bp) in the second protein-coding exon, leading to an in-frame stop after 30 codons (15 wild-type codons plus 15 codons from the insertion). Premature translation termination is also predicted to result from two additional fast neutron alleles: ddm1-6 (som4) corresponds to a frameshift (1 bp deletion) in exon 7 and ddm1-7 (som5) is a nonsense mutation in exon 12. All four characterized ddm1 alleles are expected to destroy or severely reduce gene function.

The wild-type *DDM1* gene encodes a predicted protein of 764 amino acids with a high degree of

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similarity to SWI2/SNF2-like proteins. Members of the SWI2/SNF2 family are involved in various functions, including transcriptional co-activation, transcriptional co-repression, chromatin assembly and DNA repair.

- 5 Underlying these apparently diverse activities is the modification or disruption of protein-DNA interactions by multi-protein complexes which contain SWI2/SNF2-like components. Figure 3 shows an alignment among the deduced amino acid sequences of A. thaliana DDM1 and two mammalian members of the SNF2 family, human SNF2h (SEQ ID
- NO:4; Arihara, T. et al., Cytogenet. Cell Genet. 81, 191-193, 1998) and murine LSH (SEQ ID NO:5; lymphocyte specific helicase, LSH; Jarvis, C.D. et al. Gene 169, 203-207, 1996). DDM1 contains the eight sequence motifs
- diagnostic of SWI2/SNF2 family members (Bork, P. & Koonin, E.V. Nucleic Acids Res. 21, 751-752, 1993). A. thaliana DDM1 and human SNF2h share 45 percent identity over the approximately 470 amino acid region comprising the signature motifs. Over a similar region, A. thaliana
- DDM1 and murine LSH display approximately 50 percent identity, omitting the 47 residues (amino acids 276-322) apparently unique to LSH. Initial molecular phylogenetic analysis placed DDM1 in a small subfamily, within the SNF2 family, which contains proteins of unknown function,
- including murine LSH (Eisen, J.A. et al. Nucleic Acids Res. 23, 2715-2723, 1995). The proteins of known function most closely related to DDM1 are involved in chromatin remodeling and are grouped in the SNF2L/ISWI subfamily (Eisen et al., 1995, supra).
- Without intending to be bound by any particular mechanism for the functionality of the *DDM1* gene product, analysis of the foregoing data indicates that the *DDM1* protein functions in the DNA methylation system by

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affecting chromatin structure. Two general models for the DDM1 action are envisioned. The DDM1 protein may function as a transcriptional co-activator, similar to many SWI2/SNF2-like proteins, to increase the expression of a component of the DNA methylation system. DDM1 does not affect DNA methyltransferase expression directly because ddm1 mutant extracts contain wild-type methyltransferase activity (Kakutani et al., 1995, supra). However, an unidentified positive effector of 10 DNA methylation may be a target. Alternatively, wild-type DDM1 function may change chromatin structure to direct certain sequences to the methylation machinery or to facilitate the methylation of genomic substrates. recently discovered interplay between cytosine methylation and histone acetylation , and the association 15 of SWI2/SNF2-like proteins and histone deacetylases in chromatin remodeling complexes, makes it plausible that DDM1 affects DNA methylation through modulation of histone modification or another aspect of chromatin structure. Another possibility is that DDM1 plays a more 20 direct role as a part of a nucleosome remodeling complex that increases the accessibility of the DNA methyltransferase to the hemimethylated substrates in newly replicated chromatin. The latter model is 25 particularly attractive because it predicts that ddml mutations will preferentially hypomethylate genomic sequences packaged in highly condensed chromatin while causing slower loss of methylation in more accessible sequences, consistent with the observed hypomethylation 30 specificity of ddml mutations. The isolation of the Arabidopsis DDM1 gene in accordance with the present invention points to the importance of chromatin dynamics in the maintenance of cytosine methylation patterns and

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identifies a novel component of the eukaryotic DNA methylation pathway.

A number of applications are contemplated for the novel gene of the invention and its encoded protein, and the discovery of the involvement of a SWI2/SNF2-like gene in the eucaryotic DNA methylation system. Such applications are described in greater detail below.

Although the DDM1 genomic clone from Arabidopsis thaliana is described and exemplified herein, this invention is intended to encompass nucleic acid 10 sequences and proteins from other organisms, including plants, yeast, insects and mammals, that are sufficiently similar to be used instead of the Arabidopsis DDM1 nucleic acid and proteins for the purposes described 15 These include, but are not limited to, allelic below. variants and natural mutants of SEQ ID NO:1, which are likely to be found in different species of plants or varieties of Arabidopsis. Because such variants are expected to possess certain differences in nucleotide and amino acid sequence, this invention provides an isolated 20 DDM1 nucleic acid molecule having at least about 60% (preferably 70% and more preferably over 80%) sequence homology in the coding regions with the nucleotide sequence set forth as SEQ ID NO:1 (and, most preferably, 25 specifically comprising the coding region of SEQ ID This invention also provides isolated polypeptide NO:1). products of the open reading frames of SEQ ID NO:1, having at least about 60% (preferably 70% or 80% or greater) sequence homology with the amino acid sequences of SEQ ID NO:2. Because of the natural sequence 30 variation likely to exist among DDM1 genes, one skilled in the art would expect to find up to about 30-40% nucleotide sequence variation, while still maintaining

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the unique properties of the DDM1 gene and encoded polypeptide of the present invention. Such an expectation is due in part to the degeneracy of the genetic code, as well as to the known evolutionary success of conservative amino acid sequence variations, which do not appreciably alter the nature of the encoded protein. Accordingly, such variants are considered substantially the same as one another and are included within the scope of the present invention.

The following description sets forth the general procedures involved in practicing the present invention. To the extent that specific materials are mentioned, it is merely for purposes of illustration and is not intended to limit the invention. Unless otherwise specified, general cloning procedures, such as those set forth in Sambrook et al., Molecular Cloning, Cold Spring Harbor Laboratory (1989) (hereinafter "Sambrook et al.") or Ausubel et al. (eds) Current Protocols in Molecular Biology, John Wiley & Sons (1999) (hereinafter "Ausubel et al.") are used.

A. Preparation of *DDM1* Nucleic Acid Molecules, encoded Polypeptides and Antibodies Specific for the Polypeptides

1. Nucleic Acid Molecules

DDM1 nucleic acid molecules of the invention may be prepared by two general methods: (1) they may be synthesized from appropriate nucleotide triphosphates, or (2) they may be isolated from biological sources. Both methods utilize protocols well known in the art.

The availability of nucleotide sequence information, such as the cDNA having SEQ ID NO:1, enables preparation of an isolated nucleic acid molecule of the

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Synthetic invention by oligonucleotide synthesis. oligonucleotides may be prepared by the phosphoramadite method employed in the Applied Biosystems 38A DNA Synthesizer or similar devices. The resultant construct may be purified according to methods known in the art, such as high performance liquid chromatography (HPLC). Long, double-stranded polynucleotides, such as a DNA molecule of the present invention, must be synthesized in stages, due to the size limitations inherent in current oligonucleotide synthetic methods. Thus, for example, a long double-stranded molecule may be synthesized as several smaller segments of appropriate complementarity. Complementary segments thus produced may be annealed such that each segment possesses appropriate cohesive termini for attachment of an adjacent segment. Adjacent segments may be ligated by annealing cohesive termini in the presence of DNA ligase to construct an entire long double-stranded molecule. A synthetic DNA molecule so constructed may then be cloned and amplified in an appropriate vector.

DDM1 genes also may be isolated from appropriate biological sources using methods known in the art. In the exemplary embodiment of the invention, the A. thaliana DDM1 clone was isolated from a BAC genomic library of A. thaliana In alternative embodiments, cDNA clones of DDM1 may be isolated. A preferred means for isolating DDM1 genes is PCR amplification using genomic templates and DDM1-specific primers.

In accordance with the present invention, nucleic acids having the appropriate level sequence homology with part or all the coding regions of SEQ ID NO:1 may be identified by using hybridization and washing conditions of appropriate stringency. For example,

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hybridizations may be performed, according to the method of Sambrook et al., using a hybridization solution comprising: 5X SSC, 5X Denhardt's reagent, 1.0% SDS, 100 µg/ml denatured, fragmented salmon sperm DNA, 0.05% sodium pyrophosphate and up to 50% formamide. Hybridization is carried out at 37-42°C for at least six hours. Following hybridization, filters are washed as follows: (1) 5 minutes at room temperature in 2X SSC and 1% SDS; (2) 15 minutes at room temperature in 2X SSC and 0.1% SDS; (3) 30 minutes-1 hour at 37°C in 2X SSC and 0.1% SDS; (4) 2 hours at 45-55°in 2X SSC and 0.1% SDS, changing the solution every 30 minutes.

One common formula for calculating the stringency conditions required to achieve hybridization between nucleic acid molecules of a specified sequence homology (Sambrook et al., 1989):

 $T_m = 81.5$ °C + 16.6Log [Na+] + 0.41(% G+C) - 0.63 (% formamide) - 600/#bp in duplex

As an illustration of the above formula, using [N+] = [0.368] and 50% formamide, with GC content of 42% and an average probe size of 200 bases, the T<sub>m</sub> is 57°C. The T<sub>m</sub> of a DNA duplex decreases by 1 - 1.5°C with every 1% decrease in homology. Thus, targets with greater than about 75% sequence identity would be observed using a hybridization temperature of 42°C. Such a sequence would be considered substantially homologous to the sequences of the present invention.

Nucleic acids of the present invention may be
maintained as DNA in any convenient cloning vector. In a
preferred embodiment, clones are maintained in plasmid
cloning/expression vector, such as pGEM-T (Promega
Biotech, Madison, WI) or pBluescript (Stratagene, La
Jolla, CA), either of which is propagated in a suitable

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E. coli host cell.

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DDM1 nucleic acid molecules of the invention include cDNA, genomic DNA, RNA, and fragments thereof which may be single- or double-stranded. Thus, this invention provides oligonucleotides (sense or antisense strands of DNA or RNA) having sequences capable of hybridizing with at least one sequence of a nucleic acid molecule of the present invention, such as selected segments of the DNA having SEQ ID NO:1. Such oligonucleotides are useful as probes for detecting DDM1 genes or mRNA in test samples, e.g. by PCR amplification, or for the positive or negative regulation of expression of DDM1 genes at or before translation of the mRNA into proteins.

The DDM1 promoter and other expression regulatory sequences for DDM1 are also expected to be useful in connection with the present invention. SEQ ID NO:1 shows about 550 bp of sequence upstream from the beginning of the coding region, which should contain such expression regulatory sequences. In addition, SEQ ID NO:3 constitutes about 5 kbp of additional upstream sequence, which should contain other regulatory sequences, such as enhancer elements.

2. <u>Proteins</u>

Polypeptides encoded by *DDM1* nucleic acids of the invention may be prepared in a variety of ways, according to known methods. If produced *in situ* the polypeptides may be purified from appropriate sources, e.g., plant parts.

Alternatively, the availability of nucleic acid molecules encoding the polypeptides enables production of the proteins using in vitro expression methods known in the art. For example, a cDNA or gene may be cloned into

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an appropriate in vitro transcription vector, such a pSP64 or pSP65 for in vitro transcription, followed by cell-free translation in a suitable cell-free translation system, such as wheat germ or rabbit reticulocytes. In vitro transcription and translation systems are commercially available, e.g., from Promega Biotech, Madison, Wisconsin or BRL, Rockville, Maryland.

According to a preferred embodiment, larger quantities of DDM1-encoded polypeptide may be produced by expression in a suitable procaryotic or eucaryotic For example, part or all of a DNA molecule, such as the coding portion of SEQ ID NO:1, may be inserted into a plasmid vector adapted for expression in a bacterial cell (such as E. coli) or a yeast cell (such as Saccharomyces cerevisiae), or into a baculovirus vector for expression in an insect cell. Such vectors comprise the regulatory elements necessary for expression of the DNA in the host cell, positioned in such a manner as to permit expression of the DNA in the host cell. regulatory elements required for expression include promoter sequences, transcription initiation sequences and, optionally, enhancer sequences.

The DDM1 polypeptide produced by gene expression in a recombinant procaryotic or eucyarotic system may be purified according to methods known in the art. In a preferred embodiment, a commercially available expression/secretion system can be used, whereby the recombinant protein is expressed and thereafter secreted from the host cell, to be easily purified from the surrounding medium. If expression/secretion vectors are not used, an alternative approach involves purifying the recombinant protein by affinity separation, such as by immunological interaction with antibodies that bind specifically to the recombinant protein. Such methods are commonly used by skilled practitioners.

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The DDM1-encoded polypeptides of the invention, prepared by the aforementioned methods, may be analyzed according to standard procedures. Methods for analyzing the functional activity are available. For instance, DNA methylation levels are detectable by known methods. Alternatively, the function of the DDM1 gene product as part of a chromatin remodeling machine permits the use of in vitro assays for chromatin remodeling, which are known in the art (e.g., B.R. Cairns, Trends in Biochem. 23: 20-25, 1998).

The present invention also provides antibodies capable of immunospecifically binding to polypeptides of the invention. Polyclonal or monoclonal antibodies directed toward the polypeptide encoded by DDM1 may be prepared according to standard methods. Monoclonal antibodies may be prepared according to general methods of Köhler and Milstein, following standard protocols. In a preferred embodiment, antibodies are prepared, which react immunospecifically with various epitopes of the DDM1-encoded polypeptides.

## B. Uses of DDM1 Nucleic Acids, Encoded Proteins and Antibodies

#### 1. DDM1 Nucleic Acids

DDM1 nucleic acids may be used for a variety of purposes in accordance with the present invention. The DNA, RNA, or fragments thereof may be used as probes to detect the presence of and/or expression of DDM1 genes.

Methods in which DDM1 nucleic acids may be utilized as probes for such assays include, but are not limited to:

(1) in situ hybridization; (2) Southern hybridization (3) northern hybridization; and (4) assorted amplification reactions such as polymerase chain reactions (PCR).

The *DDM1* nucleic acids of the invention may

35 also be utilized as probes to identify related genes from

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other species, including but not limited to, plants, yeast, insects and mammals, including humans. As is well known in the art and described above, hybridization stringencies may be adjusted to allow hybridization of nucleic acid probes with complementary sequences of varying degrees of homology. Thus, DDM1 nucleic acids may be used to advantage to identify and characterize other genes of varying degrees of relation to the exemplary coding sequence of SEQ ID NO:1, thereby enabling further characterization of this family of genes. Additionally, they may be used to identify genes encoding proteins that interact with protein encoded by DDM1 (e.g., by the "interaction trap" technique).

As discussed above and in greater detail in Example 1, the similarity among plant DDM1 and its 15 SWI2/SNF2 counterparts in yeast, Drosophila and mammals indicates that the functional aspects of these proteins will also be conserved. Thus, DDM1 is expected to play an important role in DNA methylation and resultant downregulation of gene expression. Plants engineered to 20 over-express DDM1 can be expected to have improved The evidence fidelity of the DNA methylation system. suggests that loss of DDM1 function leads to reduction in the efficiency of maintenance methylation due to reduced accessibility of the methyltransferase enzyme to the 25 substrate. Hence, excess DDM1 function could lead to an increase in the fidelity of the inheritance of DNA methylation thereby reducing the occurrence of spurious methylation mistakes which could compromise the organism's viability or fecundity. In fact, there are 30 experimental data demonstrating that loss of DDM1 function leads to stochastic hypermethylation, and epigenetic lesion formation, as well. For these reasons, DDM1 overexpression lines are expected to have useful 35 properties.

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Transgenic plants expressing the DDM1 gene or . antisense nucleotides can be generated using standard plant transformation methods known to those skilled in the art. These include, but are not limited to, Agrobacterium vectors, PEG treatment of protoplasts, 5 biolistic DNA delivery, UV laser microbeam, gemini virus vectors, calcium phosphate treatment of protoplasts, electroporation of isolated protoplasts, agitation of cell suspensions with microbeads coated with the transforming DNA, direct DNA uptake, liposome-mediated 10 DNA uptake, and the like. Such methods have been published in the art. See, e.g., Methods for Plant Molecular Biology (Weissbach & Weissbach, eds., 1988); Methods in Plant Molecular Biology (Schuler & Zielinski, eds., 1989); Plant Molecular Biology Manual (Gelvin, 15 Schilperoort, Verma, eds., 1993); and Methods in Plant Molecular <u>Biology - A Laboratory Manual</u> (Maliga, Klessig, Cashmore, Gruissem & Varner, eds., 1994).

The method of transformation depends upon the plant to be transformed. The biolistic DNA delivery method is useful for nuclear transformation. In another embodiment of the invention, Agrobacterium vectors are used to advantage for efficient transformation of plant nuclei.

In a preferred embodiment, the gene is introduced into plant nuclei in Agrobacterium binary vectors. Such vectors include, but are not limited to, BIN19 (Bevan, 1984) and derivatives thereof, the pBI vector series (Jefferson et al., 1987), and binary vectors pGA482 and pGA492 (An, 1986).

The *DDM1* gene may be placed under a powerful constitutive promoter, such as the Cauliflower Mosaic Virus (CaMV) 35S promoter or the figwort mosaic virus 35S promoter. Transgenic plants expressing the *DDM1* gene under an inducible promoter (either its own promoter or a

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heterologous promoter) are also contemplated to be within the scope of the present invention. Inducible plant promoters include the tetracycline repressor/operator controlled promoter.

Using an Agrobacterium binary vector system for transformation, the DDM1 coding region, under control of a constitutive or inducible promoter as described above, is linked to a nuclear drug resistance marker, such as kanamycin resistance. Agrobacterium-mediated transformation of plant nuclei is accomplished according

(1) the gene is inserted into the selected Agrobacterium binary vector;

to the following procedure:

- (2) transformation is accomplished by cocultivation of plant tissue (e.g., leaf discs) with a
  suspension of recombinant Agrobacterium, followed by
  incubation (e.g., two days) on growth medium in the
  absence of the drug used as the selective medium (see,
  e.g., Horsch et al. 1985);
- 20 (3) plant tissue is then transferred onto the selective medium to identify transformed tissue; and
  - (4) identified transformants are regenerated to intact plants.

It should be recognized that the amount of
expression, as well as the tissue specificity of
expression of the DDM1 gene in transformed plants can
vary depending on the position of their insertion into
the nuclear genome. Such position effects are well known
in the art. For this reason, several nuclear

transformants should be regenerated and tested for expression of the transgene.

In some instances, it may be desirable to down-regulate or inhibit expression of endogenous *DDM1* in plants possessing the gene. One clear benefit to engineering a reduction of DDM1 function is to reduce

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gene (including transgene) silencing. Plant lines with reduced or absent DDM1 function are expected to be viable based on results obtained with Arabidopsis. Further, it has been shown that gene silencing is suppressed in ddml Arabidopsis lines (Jeddeloh et al., Genes Devel. 12:1714-5 1725, 1998). There are two other beneficial characteristics of DDM1 deficient plant lines. First, alteration in DNA methylation leads to changes in flowering time, and as such, is a potentially powerful tool for manipulating plant development. 10 (See, e.g., Richards, Trends in Genetics 13: 319-323, 1998), ddml mutant lines exhibit inbreeding depression (a reduction in vigor after inbreeding) (Richards, Trends in Genetics, 1998, supra), a characteristic which may be 15 desirable to include in situations where proprietary germplasms in hybrid plants are at risk of unauthorized For instance, a genetically engineered hybrid (containing one or more useful transgenes) could be further engineered to down-regulate endogenous DDM1 expression. Unauthorized inbreeding of such lines would 20 be discouraged because the progeny of such lines would lack vigor.

To achieve the aforementioned benefits associated with reduced gene expression, DDM1 nucleic acid molecules, or fragments thereof, may also be utilized to control the production of DDM1-encoded proteins. In one embodiment, full-length DDM1 antisense molecules or antisense oligonucleotides, targeted to specific regions of DDM1-encoded RNA that are critical for translation, are used. The use of antisense molecules to decrease expression levels of a predetermined gene is known in the art. In a preferred embodiment, antisense molecules are provided in situ by transforming plant cells with a DNA construct which, upon

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transcription, produces the antisense sequences. Such constructs can be designed to produce full-length or partial antisense sequences.

In another embodiment, overexpression of *DDM1* is induced to generate a co-suppression effect. This excess expression serves to promote down-regulation of both endogenous and exogenous *DDM1* genes.

Optionally, transgenic plants can be created containing mutations in the region encoding the active site of *DDM1*. This embodiment may be preferred in certain instances.

From the foregoing discussion, it can be seen that DDM1 and its homologs will be useful for introducing alterations in gene expression in an organism, for a variety of purposes. As described above, for instance, the Arabidopsis DDM1 gene can be used to isolate mutants or engineer organisms that express reduced function of DDM1 orthologs. Based on results in Arabidopsis, such mutants or engineered organisms are expected to be viable and display valuable characteristics, such as inbreeding depression and a reduction in gene silencing. addition, we anticipate that dysfunction in human DDM1 orthologs may contribute to diseases that involve alterations in DNA methylation, including cancer (Baylin, S.B. et al., Adv. Cancer Res. 72: 141-196, 1998) and immunodeficiency/ chromosome instability/facial anomalies syndrome (ICF) (Smeets, D.F.C.M. et al., Hum. Genet. 94: 240-246, 1994).

#### 2. <u>DDM1 Proteins and Antibodies</u>

Purified *DDM1*-encoded proteins, or fragments thereof, may be used to produce polyclonal or monoclonal antibodies which also may serve as sensitive detection reagents for the presence and accumulation of *DDM1*-encoded

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protein in cultured cells or tissues and in intact organisms. Recombinant techniques enable expression of fusion proteins containing part or all of the DDM1-encoded protein. The full length protein or fragments of the protein may be used to advantage to generate an array of monoclonal or polyclonal antibodies specific for various epitopes of the protein, thereby providing even greater sensitivity for detection of the protein in cells or tissue.

DDM1 gene products also may be useful as pharmaceutical agents if it is determined that DDM1 loss of function plays a role in carcinogenesis, as mentioned above. The gene products could be administered as replacement therapy for persons having neoplasias associated with DDM1 loss of function.

Polyclonal or monoclonal antibodies immunologically specific for *DDM1*-encoded proteins may be used in a variety of assays designed to detect and quantitate the protein. Such assays include, but are not limited to: (1) flow cytometric analysis; (2) immunochemical localization in cultured cells or tissues; and (3) immunoblot analysis (e.g., dot blot, Western blot) of extracts from various cells and tissues.

Polyclonal or monoclonal antibodies that immunospecifically interact with the polypeptide encoded by *DDM1* can be utilized for identifying and purifying such proteins. For example, antibodies may be utilized for affinity separation of proteins with which they immunospecifically interact. Antibodies may also be used to immunoprecipitate proteins from a sample containing a mixture of proteins and other biological molecules.

The following specific examples are provided to illustrate embodiments of the invention. They are not intended to limit the scope of the invention in any way.

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#### EXAMPLE 1 Map-Based Isolation of the <u>Arabidopsis thaliana DDM1 Gene</u>

Construction of recombination breakpoint lines.

The recombination breakpoint lines were assembled in the F3 generation from a parental cross between YI DDM1

ABA/YI ddm1-2 ABA (Columbia strain (Col)) and 
yi DDM1 aba/yi DDM1 aba (Landsberg erecta strain 
(La er)). The recessive yi mutation leads to a yellow 
inflorescence. The recessive aba mutation causes a defect

- in abscisic acid biosynthesis and a wilting phenotype.

  Information on genetic markers and the A. thaliana
  genetic map can be found at: http://genomewww.stanford.edu/Arabidopsis/. Selfed seeds from F1
  YI ddm1-2 ABA/yi DDM1 aba plants were collected and 135-
- F2 recombinants (yi ABA, yellow inflorescence, non-wilting; or YI aba: green inflorescence, wilting) were identified. Selfed seeds from 111 of the 135 recombinant F2 individuals were planted to generate F3 tissue for genomic DNA preparation. The genotype at the DDM1 locus

  Was scored in the F3 generation by Greek and the DDM1 locus
- was scored in the F3 generation by Southern blot analysis using methylation-sensitive endonucleases as described previously (Vongs, A., Kakutani, T., Martienssen, R.A. & Richards, E.J., Science 260: 1926-1928, 1993).

Molecular markers. Two of the molecular

markers shown in Figure 1 were available from the

Arabidopsis research community: g4510 (Arabidopsis

Biological Resource Center (ABRC) stock# CD2-38) and

mi335 (ABRC stock# CD3-288). The remainder of the

molecular markers shown in Figure 1 were developed in

accordance with the present invention. sT10D21Bam is an

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insert end subclone of the BAC (bacterial artificial chromosome) clone T10D21 constructed by complete cleavage with BamHI and recircularization. sT10D21Bam recognizes a Col/La er PstI RFLP (restriction fragment length

- polymorphism). Molecular marker A is an XbaI Col/La er RFLP marker recognized by a 5.7 kb HindIII fragment of the C38 cosmid insert. Marker B is a RsaI Col/La er CAPS marker (Koneiczny & Ausubel, Plant J. 4: 403-410, 1993) (forward primer: 5'-TCAAGGAGATGATTCGGGCGT-3', SEQ ID NO:
- 6; reverse primer: 5'-AAAGGACCCATTTACAGAACAC-3', SEQ ID NO:7). The remaining markers, C and D, correspond to RFLP's (*Bcl*I and *Pst*I, respectively) recognized by the succinate dehydrogenase cDNA clone, 105N23T7 (ABRC stock# 105N23T7).
- Genomic library construction and screening. We screened the available A. thaliana BAC genomic libraries by standard colony hybridization techniques using radiolabeled 105N23T7 insert as a probe. The clone we subsequently focused upon, T10D21, came from the Texas
- A&M University BAC library (Choi et al., Weeds World 2: 17-20, 1995). To facilitate subsequent analysis, we cloned Sau3AI partially digested fragments from the T10D21 insert into the BamHI site of SuperCos (Stratagene). We chose to further characterize one
- member of the resulting cosmid sublibrary, C38, which contained genetic markers that flanked ddm1-2. The C38 cosmid was submitted on April 20, 1999, under the provisions of the Budapest Treaty, with the American Type Culture Collection (Manassas VA), and assigned ATCC
- 30 Accession No. 207208.

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### EXAMPLE 2

# DDM1 Gene Structure and Identification; Sequence Determination of DDM1 Gene

5 DNA sequence determination. C38 cosmid (~45 kb) DNA, prepared using Qiagen columns and protocols, was sonicated and 1-2 kb fragments isolated from a lowmelting temperature agarose gel. The size-selected DNA was cloned into the SmaI site of a M13mp18 vector to generate a shotgun library suitable for DNA sequence 10 determination. Single-stranded substrates were prepared and sequenced using conventional dye-terminator cycle sequencing protocols (Perkin-Elmer) on either an ABI 373 or ABI 377 automated DNA sequencer. The DNA sequence of the ddm1 alleles was determined using PCR-amplified 15 templates and oligonucleotide primers dispersed throughout the DDM1 gene. Sequence assembly and analysis were accomplished using Phred/Phrap/Consed (http://www.mbt.washington.edu/) and DNASTAR software 20 suites.

RT-PCR cDNA analysis. DDM1 gene structure was determined by analysis of the genomic DNA sequence and the nucleotide sequence of RT-PCR (reverse transcriptionpolymerase chain reaction) products encompassing the coding region. DDM1 and ddm1-2 transcripts were analyzed 25 by RT-PCR as follows. Total RNA was prepared using the Qiagen RNeasy™ protocol. Poly(A)+ transcripts were collected on oligo- $d(T)_{25}$  magnetic Dynabeads (Dynal) and first-strand cDNA synthesis performed following Dynal protocols using Stratascript (Stratagene) reverse 30 transcriptase. Aliquots of the bead-immobilized firststrand cDNA library were used as templates for PCR amplification using KlenTaqI polymerase (Clontech). following oligonucleotide primers were used for the RT-PCR experiment shown in Fig. 2b: forward, 35 5'-GCTGGAAGGGAAAGCTTAACAACC-3' (SEQ ID NO:8); reverse,

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5'-ACACTGCCATCGATTCTGCAAACC-3' (SEQ ID NO:9).

GenBank accession numbers and SEQ ID NOS.

Arabidopsis DDM1 genomic DNA sequence: SEQ ID NO:1;
Arabidopsis DDM1 deduced amino acid sequence: SEQ ID NO:2;
Arabidopsis DDM1 5' upstream genomic DNA sequence: SEQ ID NO:3;

Mus musculus lymphocyte specific helicase (LSH); Genbank Accession No. AAB08015; SEQ ID NO:4;

Homo sapiens SNF2h; Genbank Accession No. AB010882; SEQ ID NO:5;

succinate dehydrogenase cDNA 105N23T7, T22529; primers: SEQ ID NOS: 6-9.

While certain of the preferred embodiments of
the present invention have been described and
specifically exemplified above, it is not intended that
the invention be limited to such embodiments. Various
modifications may be made thereto without departing from
the scope and spirit of the present invention, as set
forth in the following claims.

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### SEQUENCE LISTING

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410

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- 39 -

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- 43 -

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                               985
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BNSDOCID: <WO\_\_\_9955891A1\_I\_>

- 46 -

#### We claim:

- 1. An isolated nucleic acid molecule comprising a gene located on Arabidopsis thaliana

  5 chromosome 5, lower arm, said gene occupying a segment of said chromosome 5, lower arm, flanked on the centromeric side within 20 kilobases by a gene encoding a zinc-finger protein and on the telomeric side within 1 kilobase by a gene encoding a glutamic acid tRNA, the disruption of said gene being associated with DNA hypomethylation.
  - 2. The nucleic acid molecule of claim 1, wherein said gene is composed of exons that form an open reading frame having a sequence that encodes a polypeptide about 750-850 amino acids in length.
  - 3. A cDNA molecule comprising the exons of the nucleic acid molecule of claim 2.
- 4. The nucleic acid molecule of claim 2, wherein said open reading frame encodes an amino acid sequence substantially the same as SEQ ID NO:2.
- 5. The nucleic acid molecule of claim 4,
  wherein said open reading frame encodes amino acid SEQ ID
  NO:2.
  - 6. The nucleic acid molecule of claim 5, which comprises an open reading frame of SEQ ID NO:1.
  - 7. A recombinant DNA molecule, comprising a vector having an insert that includes the nucleic acid molecule of claim 1.
- 35 8. The recombinant DNA molecule of claim 7,

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which is cosmid C38, ATCC Accession No. 207208.

- 9. An oligonucleotide between about 10 and 100 nucleotides in length, which specifically hybridizes with a portion of the nucleic acid molecule of claim 1.
- 10. An isolated nucleic acid molecule which is a gene, the disruption of which is associated with DNA hypomethylation, having a sequence selected from the group consisting of:
  - a) SEQ ID NO:1;
- b) an allelic variant or natural mutant of SEQ ID NO:1;
- c) a sequence hybridizing with part or all of SEQ ID NO:1 or its complement and encoding a polypeptide substantially the same as part or all of a polypeptide encoded by SEQ ID NO:1;
  - d) a sequence encoding part or all of a polypeptide having amino acid SEQ ID NO:2; and
- e) a sequence encoding part or all of a polypeptide contained in the cosmid clone C38, designated ATCC Accession No. 207208.
- isolated nucleic acid molecule comprising part or all of an open reading frame of a gene located on Arabidopsis thaliana chromosome 5, lower arm, said gene occupying a segment of said chromosome 5, lower arm, flanked on the centromeric side within 20 kilobases by a gene encoding a zinc-finger protein and on the telomeric side within 1 kilobase by a gene encoding a glutamic acid tRNA, the disruption of said gene being associated with DNA hypomethylation.
- 35 12. The polypeptide of claim 11, produced by

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- 48 -

expression of a sequence selected from the group consisting of:

- a) SEQ ID NO:1;
- b) an allelic variant or natural mutant of
- 5 SEQ ID NO:1;
  - c) a sequence hybridizing with part or all of SEQ ID NO:1 or its complement and encoding a polypeptide substantially the same as part or all of a polypeptide encoded by SEQ ID NO:1;
- d) a sequence encoding part or all of a polypeptide having amino acid SEQ ID NO:2; and
  - e) a sequence encoding part or all of a polypeptide contained in the clone designated ATCC Accession No. 207208.

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- 13. The polypeptide of claim 11, having the amino acid sequence of part or all of SEQ ID NO:2.
- 14. An antibody immunologically specific for 20 the polypeptide of claim 11.
  - 15. An isolated nucleic acid molecule having a sequence substantially the same as SEQ ID NO:3.
- 25
  16. An isolated protein encoded by an

  Arabidopsis thaliana gene, said protein being a member of
  an SWI2/SNF2 family of polypeptides, loss of function of
  said protein being associated with DNA hypomethylation.
- 17. The protein of claim 16, encoded by a gene located on A. thaliana chromosome 5, lower arm, centromerically flanked within 20 kilobases by a zinc-finger-encoding gene and telomerically within one kilobase by a gene encoding a glutamic acid tRNA.

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18. The protein of claim 16, encoded by a DNA segment on a recombinant cosmid C38, having ATCC Accession No. 207208.

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- 19. The protein of claim 16, having amino acid SEQ ID NO:2.
- 20. A transgenic organism comprising the nucleic acid molecule of claim 1.
  - 21. The transgenic organism of claim 20, which is a plant.
- 22. A method of stabilizing fidelity of DNA methylation in an organism, comprising transforming the organism with the nucleic acid molecule of claim 1.
- 23. A method of reducing or eliminating gene 20 silencing in a plant, comprising inhibiting or preventing expression of an endogenous *DDM1* gene of the plant.
- 24. A method of introducing inbreeding depression in a plant, comprising inhibiting or preventing expression of an endogenous *DDM1* gene of the plant.

4.

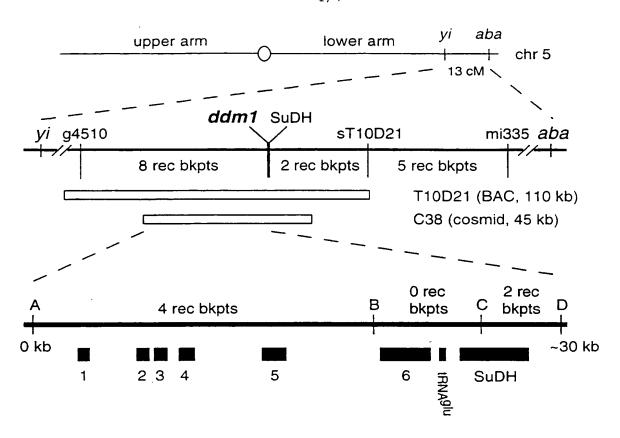


Figure 1

*:*·

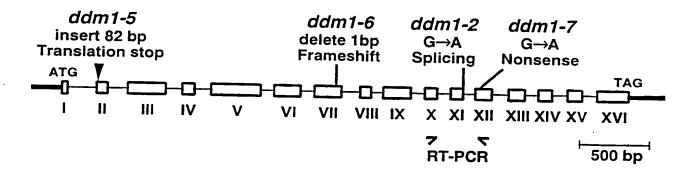


Fig. 2A

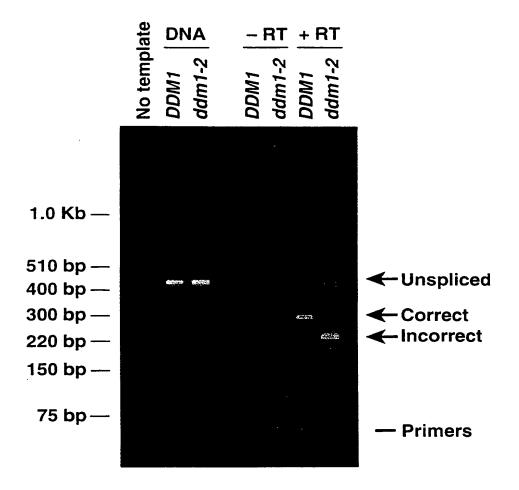


Fig. 2B

21. 81 82 82 12.4 12.5 12.5 12.5 12.5 12.5 12.5 12.5 12.5	NVBLRSRKVIPASBNVSDGKTEKDA8登場6日5VLNDEENCEBRSVIVVEBBILLAKNGDSSLISERMROBEBOLLKLREDEDERANNROSAVAPN 1	189 LONELCR-LLTOGOLES YOKEGENDI SLWENGILADEMGLOKTIOTIĞFLS HEKO-NGEDGEY DVENPLSTUS NWENELARETPEINATT 1	APWXYOVIDEGHRÜKNHKCKLÜRELKHLKN VWXVLENDEGHRIKNHKCRLÄRELKÄFNL NWBYLVIDENHRIKNEKSKLBEITYREFK MOUFII	376 LNFELDPDEFTSHDEFSWFDFSEXMXMXATKBREKRRAÖVYSKLHOILRPFELBRMKRDVELSDPRKKETENVEVPTOBIOKROELFONMYDEN 162 LNFLLPDVFDDLKSFESWFDISSBLBET-ARDIIAKBROMVEHMUHQILEPPFLDRRKKSDVAJESPPKREVVVVALGOKOPIEVTATAN 351 LNFLLPDVFNSADDFDSWFDTNNCLODOKNVRMINADMRKKADVBKSLPPKKEVKTYVGLSKHOREWKTKTAN moulfiv	471 BLGGKINNEYINGOGMEN	511 YLYPPVEEEVOĞCGKFRÄJLERLINGÎLPAĞNHKVLIFSOHTRALDIRDYYPBERGGFEYCRIDGSYKLDERRAĞDIKDFSDEKSGCSTRIDSTRAGGD 351 QRYKIDEELVTNSGKFRÄLDRALDELKKRGHKVLLFSOHTRALDILL DYCHLRNFIFSRLDGSKSYSERRANIYSENTDF-DVFHFFLUSTRAGGL 470 PPYTTOKHLVTNSGKHRALDRALDRALDRALDRALDRALDFLOMTRALDILBDYCHWRNYERYCRIDGGTPHDERODSINATNRPNSFKRYRAGGL	606 GINLTAADTGILYDS DWNP OHDLOAMDRCHRIGOTKPVHVYRLSTAGGIETRWÖKRAYSKLKLEHTWIOOGOFHORRAKSSTPLEREDIEAL 445 GINLTAADTVIEYDS DWNP OHDLOAMDRAHRIGOTKPVYVYRLYTANTIOOKIVERAARRRKLEKTTHRNHEROOGSGLS OSKRPLDAKEINRE 665 GINLATADTVILYDS DWNP OHDLOAWDRAHRIGOTKTVRPTTTONTYE BRIVERARKKLREDS TVIOOGRLYD OKLNKTOKDENHON 1001 WOOD 18 WOOD 18 WAS DWNPOTOLOAWDRAHRIGOTKTVR PONTYE BRIVERARKKLREDS TVIOOGRLYD OKLNKTOKDENHON	698 LKR - DRIABOKLIOT - DISDADLORKULDRSDUTITAR GRIQAABA PPWKOP - GWRVWUP 85 - GGWLBSUNB 640 LKRROYEREVKÖSKEKVISDEDLELDIRSDLID OMKASRPIKGKRÖĞF KIUBNSEDSSARCUP 654 TRH OAIHWPASKERKUISBILDIRGAKKI ARUNBKLBKHGRÉSÍRNFIHDIESSVYNFRGEDYR EKOXIAFIEW 73010 1052
At DDM1 Hs SNF2h	At DDM1 Hs SNF2h							

Figure 3

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PC:/US 99/09268

A. CLASS	C12N15/82	C07K14/415	C07K16/16	A01H5/00	
According t	o International Patent Cla	ssification (IPC) or to both	national classification	and IPC	
B. FIELDS	SEARCHED				
Minimum do	C12N C07K	classification system follow	ved by classification sy	nbols)	
Documenta	ition searched other than	minimum documentation t	the extent that such d	ocuments are included in the fie	lds searched
Electronic	data base consulted during	g the international search	(name of data base an	d. where practical, search terms	used)
C. DOCUM	ENTS CONSIDERED TO	BE RELEVANT			
Category :	Citation of document, v	vith indication, where app	ropriate, of the relevant	passages	Relevant to claim No.
X	methylatio maintenanc Arabidopsi GENES AND vol. 12, n	DEVELOPMENT, o. 11, 1 June -1725, XP0021	is required rencing in		23,24
X	of epigene trans-acti PROCEEDING SCIENCES O vol. 95, J 632-637, X	anuary 1998 (P002114098 he applicatio	ncing by in Arabidops DNAL ACADEMY 1998-01), pa	is" OF ges	23,24
X Fur	ther documents are listed	in the continuation of box	: С. Х	Patent family members are	listed in annex.
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	actual completion of the  September 19			Date of mailing of the internation $15/09/1999$	nal search report
	mailing address of the IS	Α	12	Authorized officer	
	NL - 2280 HV Rijs	2040, Tx. 31 651 epo nl,	'-	Holtorf, S	

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International Application No
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	Istion) DOCUMENTS CONSIDERED TO BE RELEVANT	15.4
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Y	KAKUTANI, T., ET AL.: "developmental abnormalities and epimutations associated with DNA hypomethylation mutations" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF THE USA, vol. 93, October 1996 (1996-10), pages 12406-12411, XP002114099 page 12407, left column; page 12409, left column; Fig. 3	1-6,10
Y	KAKUTANI, T., ET AL.: "characterization of an Arabidopsis thaliana hypomethylation mutant" NUCLEIC ACID RESEARCH, vol. 23, no. 1, 1995, pages 130-137, XP002049118 cited in the application abstract, last paragraph	1-6,10
A	KAKUTANI, T.: "genetic characterization of late-flowering traits induced by DNA hypomethylation mutation in Arabidopsis thaliana" THE PLANT JOURNAL, vol. 12, no. 6, 1997, pages 1447-1451, XP002114100 abstract, page 1448, right column	1-24
A	ROUNSLEY, S.D., ET AL.: "a BAC end sequence database for identifying minimal overlaps in Arabidopsis genomic sequencing. Update 4." EMBL SEQUENCE DATA LIBRARY, 29 May 1998 (1998-05-29), XP002114101 heidelberg, germany accession no. AQ010627	1-24
Α	VONGS, A., ET AL.: "Arabidopsis thaliana DNA methylation mutants" SCIENCE, vol. 260, June 1993 (1993-06), pages 1926-1928, XP002049119 cited in the application the whole document	1-24
A	WO 98 04725 A (UNIV YALE) 5 February 1998 (1998-02-05) abstract, page 10-14; examples 2 + 3, claims;	1-24

Internal Application No
PC1/US 99/09268

Category	ation) DOCUMENTS CONSIDERED TO BE RELEVANT  Citation of document, with indication where appropriate, of the relevant passages	Relevant to claim No.
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Ą	PASZKOWSKI, J., ET AL.: "plant genes: the genetics of epigenetics" CURRENT BIOLOGY, vol. 8, no. 6, March 1998 (1998-03), pages R206-R208, XP002114102 the whole document	1-24
Ρ, Χ	NAKAMURA, Y.: "structural analysis of Arabidopsis thaliana chromosome 5. IX unpublished" EMBL SEQUENCE DATA LIBRARY, 7 October 1998 (1998-10-07), XP002114103 heidelberg, germany accession no. AB018119	1,2,10,

nformation on patent family members

International Application No

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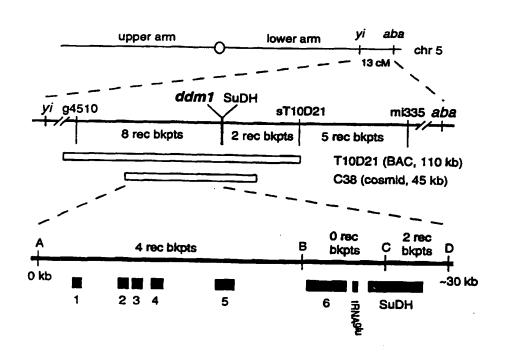
(74) Agents: REED, Janet, E. et al.; Dann, Dorfman, Herrell and Skillman, Suite 720, 1601 Market Street, Philadelphia, PA 19103 (US). (81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

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(54) Title: PLANT GENE THAT REGULATES DNA METHYLATION



#### (57) Abstract

A novel gene, DDM1, and its encoded protein are provided. The gene was isolated from a region of Arabidopsis thaliana chromosome 5. DDM1 appears to be part of the SWI2/SNF2 family of chromatin-remodeling proteins. Disruption of the gene results in DNA hypomethylation, among other phenotypes. The DDM1 gene defines a novel member of the DNA methylation system. Methods of using DDM1, and transgenic organisms comprising DDM1, are also provided.

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- (74) Agents: REED, Janet, E. et al.; Dann, Dorfman, Herrell and Skillman, Suite 720, 1601 Market Street, Philadelphia, PA 19103 (US).

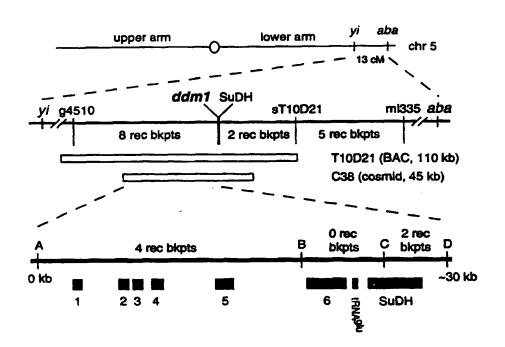
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#### PLANT GENE THAT REGULATES DNA METHYLATION

Pursuant to 35 U.S.C. §202(c), it is acknowledged that the U.S. Government has certain rights in the invention described herein, which was made in part with funds from the National Science Foundation, Grant Nos. MCB9306266 and BIR9256779.

This application claims priority to U.S.

Provisional Application Serial No. 60/\_\_\_\_\_\_, filed

April 30, 1998, and to U.S. Application No. 09/104,070,

filed June 24, 1998 the entireties of which are
incorporated by reference herein.

### FIELD OF THE INVENTION

This invention relates to the field of plant molecular biology, genetic engineering and regulation of gene expression. In particular, this invention provides a novel gene, *DDM1*, which plays an important role in the regulation of DNA methylation, and resultant regulation of gene expression, in plant genomic DNA.

### 20 BACKGROUND OF THE INVENTION

Various publications or patents are cited in this application to describe the state of the art to which the invention pertains. Each of these publications or patents is incorporated by reference herein.

Plant genomes contain substantial amounts of 5-methylcytosine. Up to 20-30% of the cytosines are methylated in the nuclear genome of many flowering plants. As in other organisms, methylation of cytosine

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residues in plants occurs post-replicatively through the action of cytosine-DNA methyltransferases. Plant DNA methyltransferases have been characterized biochemically, and plant genes encoding these enzymes have been isolated by virtue of their similarity to their mammalian counterparts.

Investigations of native plant genes and transgenic plants containing foreign genes have found a general correlation between transcriptional inactivity and increased DNA methylation, consistent with evidence from mammalian systems. This evidence supports a role for cytosine methylation in maintaining transcriptional states.

and environmental interaction suggests that plants extensively employ epigenetic regulatory strategies. Such strategies rely on heritable, often reversible, changes in access to the underlying genetic information, but not alteration of the primary nucleotide sequence.

20 As one example, the alteration of DNA methylation is expected to perturb plant development significantly, provided that differential DNA methylation is an

One paradigm linking DNA methylation and developmental regulation comes from work on the mouse, where average genome cytosine methylation levels in embryonic lineages drop sharply in the early cleavages following fertilization, then rise again around the time of implantation. In plants, a similar pattern has been observed in studies of DNA methylation content in pollen and post-embryonic tissue of varying age. Information from such studies indicates that there is a gradual rise in 5-methylcytosine levels in post-embryonic tissues

important component of epigenetic regulation in plants.

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produced by meristems at positions further from the base of the plant (i.e., tissues of increasing age). Genetic studies of transposon systems in maize also demonstrate an age-dependent gradient of increasing epigenetic modification, which is correlated with DNA methylation.

Both biochemical and genetic approaches have been taken to alter DNA methylation in eucaryotic organisms. Methylation inhibitor treatments have induced developmental abnormalities in many plant species.

Transgenic plants expressing antisense molecules specific for a native cytosine methyltransferase gene have been found to exhibit genomic hypomethylation, presumably due to the antisense interference with expression of the gene.

15 In another approach, mutants of Arabidopsis thaliana have been isolated, which show a decrease in DNA methylation (ddm) resulting in reduced nuclear 5methylcytosine levels. The best characterized mutations define the DDM1 gene. Homozygotes carrying recessive ddm1 alleles contain 30% of the wild-type levels of 5-20 methylcytosine. The ddm1 mutations do not map to the two known cytosine-DNA methyltransferase genes of A. thaliana, nor do they affect DNA methyltransferase activity detectable in nuclear extracts (Kakutani et al., Nuc. Acids Res. 23: 130-137, 1995). 25 In addition, ddml mutations do not appear to affect the metabolism of the active methyl group donor, S-adenosylmethionine (Kakutani et al., 1995, supra).

For the foregoing reasons, the DDM1 gene

30 product is likely to be a novel component of the DNA

methylation system, or involved in determining the

cellular context (e.g., chromatin structure, subnuclear

localization) of the methylation reaction. Consequently,

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it would be a clear advance in the art of plant molecular and cellular biology to identify and isolate the DDM1 gene and/or its encoded protein. Such a gene and protein would find utility for the purpose of modifying the methylation status of a selected genome and thereby altering one or more regulatory features of gene expression from that genome.

#### SUMMARY OF THE INVENTION

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A novel gene, DDM1, and its encoded protein are provided in accordance with the present invention. The gene has been identified as a novel element of the DNA methylation system.

In one aspect of the invention, an isolated nucleic acid molecule comprising a gene located on Arabidopsis thaliana chromosome 5, lower arm, is provided. The gene occupies a segment of chromosome 5, lower arm, which is flanked on the centromeric side within 20 kilobases by a gene encoding a zinc-finger protein and on the telomeric side within 1 kilobase by a gene encoding a glutamic acid tRNA. Disruption of the gene is associated with DNA hypomethylation. The gene encodes a polypeptide of about 764 amino acids in length. The nucleotide sequence of the DDM1 gene is set forth herein as SEQ ID NO:1 and its deduced amino acid sequence as SEQ ID NO:2. In SEQ ID NO:1, the regions of the gene that comprise coding sequence are indicated.

In another aspect of the invention, an isolated DDM1 gene is provided, having a sequence selected from the group consisting of: (a) SEQ ID NO:1; (b) an allelic variant or natural mutant of SEQ ID NO:1; (c) a sequence hybridizing with part or all of SEQ ID NO:1 or its complement and encoding a polypeptide substantially the

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same as part or all of a polypeptide encoded by SEQ ID NO:1; (d) a sequence encoding part or all of a polypeptide having amino acid SEQ ID NO:2; and (e) a sequence encoding part or all of a polypeptide contained in the cosmid clone C38, designated ATCC Accession No. 207208.

According to another aspect of the invention, a polypeptide is provided, which is produced by expression of an isolated nucleic acid molecule comprising part or all of an open reading frame of a gene located on Arabidopsis thaliana chromosome 5, lower arm, the gene occupying a segment of chromosome 5, lower arm, flanked on the centromeric side within 20 kilobases by a gene encoding a zinc-finger protein and on the telomeric side within 1 kilobase by a gene encoding a glutamic acid tRNA. This polypeptide preferably has the amino acid sequence of part or all of SEQ ID NO:2.

According to another aspect of the invention, an isolated protein encoded by an Arabidopsis thaliana gene is provided, which is a member of an SWI2/SNF2 family of polypeptides. Loss of function of the protein is associated with DNA hypomethylation. The protein is encoded by a gene located on A. thaliana chromosome 5, lower arm, centromerically flanked within 20 kilobases by a zinc finger-encoding gene and telomerically within one kilobase by a gene encoding a glutamic acid tRNA.

According to another aspect of the invention, a transgenic organism comprising the *DDM1* gene is provided. In one embodiment, the transgenic organism is a plant.

In other aspects of the invention, methods are provided for stabilizing fidelity of DNA methylation in an organism, which comprise transforming the organism with the DDM1 gene. Methods are also provided for

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reducing or eliminating gene silencing in a plant, or for inducing inbreeding depression in a plant, which comprise inhibiting or preventing expression of an endogenous *DDM1* gene of the plant.

These aspects of the invention, as well as other features and advantages of the invention, will be described in greater detail in the description and examples set forth below.

# 10 BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1. Map-based isolation of the A. thaliana DDM1 gene. A genetic map of the region of A. thaliana chromosome 5 containing the DDM1 gene is shown at the top of the figure (see Example 1). The relative sizes of the genetic intervals were determined by the 15 number of recombination breakpoints (rec bkpts) scored in a panel of recombinant lines containing cross-overs between flanking markers yi and aba. The regions represented in genomic clones T10D21 and C38 are denoted 20 by the open boxes below the genetic map. The ~30 kb interval containing the DDM1 gene, defined by the genetic markers A and D, is shown at the bottom of the figure. The number of recombination breakpoints scored between markers A - D and ddm1-2 are indicated. The position of 25 predicted coding regions in the interval are numbered and shown below the physical map. BAC, bacterial artificial chromosome; SuDH, succinate dehydrogenase structural gene.

Figure 2. DDM1 gene structure and

identification. Fig. 2A: The intron/exon structure of the DDM1 gene. Protein-coding exons are shown as open boxes, with the start and stop codons indicated. Introns are depicted as thin lines. The position and nature of

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four ddm1 alleles are indicated above the exon/intron Fig. 2B: RT-PCR analysis of ddm1-2 and wild-type DDM1 transcripts. The approximate positions of oligonucleotide primers used in the analysis are shown below the map in Fig. 2A. Amplifications were done on either genomic templates (DNA), first-strand cDNA templates (+RT, plus reverse transcriptase), or mocksynthesized cDNA (-RT, minus reverse transcriptase). Amplified products were separated on a 3% agarose gel and visualized after ethidium bromide staining. Amplification from cDNA representing the properly spliced transcript resulted in a ~280 bp product. The nucleotide sequence of the ~220 bp product amplified from ddm1-2 cDNA template indicated that the mutation leads to use of an alternate splice donor 56 bp upstream of the wild-type splice donor site.

Figure 3. The A. thaliana DDM1 gene encodes a SWI2/SNF2-like protein. The deduced primary amino acid sequence of DDM1 (At DDM1) is aligned with two other SWI2/SNF2-like protein sequences, Mus musculus lymphocyte 20 specific helicase (Mm LSH; SEQ ID NO:4) and human SNF2h (Hs SNF2h; SEQ ID NO:5). Sequence identities are indicated by black boxes and conservative changes are The positions of the eight signature motifs shaded. 25 characteristic of SNF2 family proteins are indicated below the aligned sequences. Amino acid coordinates are indicated on the left; only the N-terminal 730 amino acids (of 1052 total) are shown for human SNF2h, though SEQ ID NO:5 shows the entire protein sequence. deletion/frameshift caused by the ddm1-2 allele occurs at 30 amino acid 524. The ddm1-6 frameshift occurs at amino acid 379, leading to translation of an additional 52 amino acids out of frame. The ddm1-7 nonsense mutation

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occurs at amino acid 549. Dashes indicate gaps introduced by the CLUSTAL W algorithm to maximize alignment (Thompson et al., Nucleic Acids Res. 22: 4673-4680, 1994). The alignment was processed by BOXSHADE v. 3.21.

### DETAILED DESCRIPTION OF THE INVENTION

#### I. <u>Definitions</u>

Various terms relating to the biological

molecules of the present invention are used throughout
the specification and claims.

With reference to nucleic acids of the invention, the term "isolated nucleic acid" is sometimes used. This term, when applied to DNA, refers to a DNA molecule that is separated from sequences with which it is immediately contiguous (in the 5' and 3' directions) in the naturally occurring genome of the organism from which it was derived. For example, the "isolated nucleic acid" may comprise a DNA molecule inserted into a vector, such as a plasmid or virus vector, or integrated into the genomic DNA of a procaryote or eucaryote. An "isolated nucleic acid molecule" may also comprise a cDNA molecule.

With respect to RNA molecules of the invention the term "isolated nucleic acid" primarily refers to an RNA molecule encoded by an isolated DNA molecule as defined above. Alternatively, the term may refer to an RNA molecule that has been sufficiently separated from RNA molecules with which it would be associated in its natural state (i.e., in cells or tissues), such that it exists in a "substantially pure" form (the term "substantially pure" is defined below).

With respect to protein, the term "isolated protein" or "isolated and purified protein" is sometimes

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used herein. This term refers primarily to a protein produced by expression of an isolated nucleic acid molecule of the invention. Alternatively, this term may refer to a protein which has been sufficiently separated from other proteins with which it would naturally be associated, so as to exist in "substantially pure" form.

The term "substantially pure" refers to a preparation comprising at least 50-60% by weight the compound of interest (e.g., nucleic acid,

- oligonucleotide, protein, etc.). More preferably, the preparation comprises at least 75% by weight, and most preferably 90-99% by weight, the compound of interest. Purity is measured by methods appropriate for the compound of interest (e.g. chromatographic methods,
- agarose or polyacrylamide gel electrophoresis, HPLC analysis, and the like).

Nucleic acid sequences and amino acid sequences can be compared using computer programs that align the similar sequences of the nucleic or amino acids thus

- define the differences. In the comparisons made in the present invention, the CLUSTLW program and parameters employed therein were utilized (Thompson et al., 1994, supra). However, equivalent alignments and similarity/identity assessments can be obtained through
- the use of any standard alignment software. For instance, the GCG Wisconsin Package version 9.1, available from the Genetics Computer Group in Madison, Wisconsin, and the default parameters used (gap creation penalty=12, gap extension penalty=4) by that program may also be used to compare sequence identity and similarity.
  - The term "substantially the same" refers to nucleic acid or amino acid sequences having sequence variation that do not materially affect the nature of the

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protein (i.e. the structure, stability characteristics, substrate specificity and/or biological activity of the protein). With particular reference to nucleic acid sequences, the term "substantially the same" is intended to refer to the coding region and to conserved sequences governing expression, and refers primarily to degenerate codons encoding the same amino acid, or alternate codons encoding conservative substitute amino acids in the encoded polypeptide. With reference to amino acid sequences, the term "substantially the same" refers generally to conservative substitutions and/or variations in regions of the polypeptide not involved in determination of structure or function.

The terms "percent identical" and "percent 15 similar" are also used herein in comparisons among amino acid and nucleic acid sequences. When referring to amino acid sequences, "percent identical" refers to the percent of the amino acids of the subject amino acid sequence that have been matched to identical amino acids in the 20 compared amino acid sequence by a sequence analysis "Percent similar" refers to the percent of the amino acids of the subject amino acid sequence that have been matched to identical or conserved amino acids. Conserved amino acids are those which differ in structure 25 but are similar in physical properties such that the exchange of one for another would not appreciably change the tertiary structure of the resulting protein. Conservative substitutions are defined in Taylor (1986, J. Theor. Biol. 119:205). When referring to nucleic acid 30 molecules, "percent identical" refers to the percent of the nucleotides of the subject nucleic acid sequence that have been matched to identical nucleotides by a sequence analysis program.

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With respect to antibodies, the term "immunologically specific" refers to antibodies that bind to one or more epitopes of a protein of interest, but which do not substantially recognize and bind other molecules in a sample containing a mixed population of antigenic biological molecules.

With respect to oligonucleotides or other single-stranded nucleic acid molecules, the term "specifically hybridizing" refers to the association

10 between two single-stranded nucleic acid molecules of sufficiently complementary sequence to permit such hybridization under pre-determined conditions generally used in the art (sometimes termed "substantially complementary"). In particular, the term refers to hybridization of an oligonucleotide with a substantially complementary sequence contained within a single-stranded DNA or RNA molecule, to the substantial exclusion of hybridization of the oligonucleotide with single-stranded nucleic acids of non-complementary sequence.

A "coding sequence" or "coding region" refers to a nucleic acid molecule having sequence information necessary to produce a gene product, when the sequence is expressed.

The term "operably linked" or "operably

inserted" means that the regulatory sequences necessary
for expression of the coding sequence are placed in a
nucleic acid molecule in the appropriate positions
relative to the coding sequence so as to enable
expression of the coding sequence. This same definition

is sometimes applied to the arrangement other
transcription control elements (e.g. enhancers) in an
expression vector.

Transcriptional and translational control sequences are DNA regulatory sequences, such as

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promoters, enhancers, polyadenylation signals, terminators, and the like, that provide for the expression of a coding sequence in a host cell. In particular, as used herein, the term "DNA transcriptional response element" refers to a DNA sequence specifically recognized for binding by a DNA binding protein characterized as a transcriptional regulator (either activator or suppressor).

The terms "promoter", "promoter region" or 10 "promoter sequence" refer generally to transcriptional regulatory regions of a gene, which may be found at the 5' or 3' side of the coding region, or within the coding region, or within introns. Typically, a promoter is a DNA regulatory region capable of binding RNA polymerase 15 in a cell and initiating transcription of a downstream (3' direction) coding sequence. The typical 5' promoter sequence is bounded at its 3' terminus by the transcription initiation site and extends upstream (5' direction) to include the minimum number of bases or 20 elements necessary to initiate transcription at levels detectable above background. Within the promoter sequence is a transcription initiation site (conveniently defined by mapping with nuclease S1), as well as protein binding domains (consensus sequences) responsible for the 25 binding of RNA polymerase.

A "vector" is a replicon, such as plasmid, phage, cosmid, or virus to which another nucleic acid segment may be operably inserted so as to bring about the replication or expression of the segment.

The term "nucleic acid construct" or "DNA construct" is sometimes used to refer to a coding sequence or sequences operably linked to appropriate regulatory sequences and inserted into a vector for transforming a cell. This term may be used interchangeably with the term "transforming DNA". Such a

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nucleic acid construct may contain a coding sequence for a gene product of interest, along with a selectable marker gene and/or a reporter gene.

The term "reporter gene" refers to genetic sequences which may be operably linked to a promoter region forming a transgene, such that expression of the reporter gene coding region is regulated by the promoter and expression of the transgene is readily assayed.

The term "selectable marker gene" refers to a gene product that when expressed confers a selectable phenotype, such as antibiotic resistance, on a transformed cell or plant.

The term "DNA construct" is sometimes used herein to refer to genetic sequence used to transform plants and generate progeny transgenic plants. These constructs may be administered to plants in a viral or plasmid vector. Other methods of delivery such as Agrobacterium T-DNA mediated transformation and transformation using the biolistic process are also contemplated to be within the scope of the present invention. The transforming DNA may be prepared according to standard protocols such as those set forth in "Current Protocols in Molecular Biology", eds.

Frederick M. Ausubel et al., John Wiley & Sons, 1995.

A cell has been "transformed" or "transfected" by exogenous or heterologous DNA construct when such DNA has been introduced inside the cell. The transforming DNA may or may not be integrated (covalently linked) into the genome of the cell. In prokaryotes, yeast, and plant cells for example, the transforming DNA may be maintained on an episomal element such as a plasmid. With respect to eukaryotic cells, a stably transformed cell is one in which the transforming DNA has become integrated into a chromosome so that it is inherited by daughter cells

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through chromosome replication. This stability is demonstrated by the ability of the eukaryotic cell to establish cell lines or clones comprised of a population of daughter cells containing the transforming DNA. A "clone" is a population of cells derived from a single cell or common ancestor by mitosis. A "cell line" is a clone of a primary cell that is capable of stable growth in vitro for many generations.

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## II. Description of DDM1 and its Encoded Polyeptide

In accordance with the present invention, a

novel gene, DDM1, has been isolated from the flowering
plant Arabidopsis thaliana. Through analysis of mutant
plants, this gene has been identified as important for
the maintenance of proper genomic cytosine methylation,
and its function appears to be necessary to maintain gene
silencing. Biochemical and molecular genetic results
indicate that DDM1 encodes a novel component of the DNA
methylation machinery.

We have isolated the DDM1 gene from A. thaliana using a map-based cloning approach, which is described in detail in Example 1 and shown in Figure 1. Briefly, the DDM1 gene was initially localized to the bottom of the lower arm of chromosome 5 by reference to molecular markers segregating in an F2 family (parental cross: Columbia ddm1/ddm1 X Landsberg erecta DDM1/DDM1). Next, recombination breakpoints in the region surrounding a ddm1 mutation were isolated by collecting cross-over chromosomes by reference to flanking genetic markers. The recombination breakpoints delimited a region of approximately 30 kilobases. Cloned DNA corresponding to this genomic region was isolated by subcloning DNA from a

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bacterial artificial chromosome (BAC) containing molecular markers mapping both proximal and distal to the ddml marker. The nucleotide sequence of a single cosmid subclone encompassing the 30 kb region was determined to identify six candidate genes, in addition to a tRNA gene and a previously identified succinate dehydrogenase structural gene.

The search for the DDM1 gene focused on predicted genes 5 and 6, which fell in the center of the genetic interval defined by recombination breakpoints with the ddm1-2 marker. The DDM1 gene was identified as predicted gene 6 based on DNA sequence alterations in four ddm1 alleles (Figure 2). The EMS-generated ddm1-2 mutation is a G to A transition in the splice donor site of intron 11 that forces the use of an alternate splice donor site 56 bp upstream in exon 11 (Fig. 2B). splicing defect leads to a deletion, a frameshift and premature translation termination upstream of predicted functional domains. The fast neutron-generated ddm1-5 (previously named som8; Mittelsten Scheid, O., Afsar, K. & Paszkowski, J. Proc. Natl. Acad. Sci. USA 95: 632-637, 1998).) allele contains an 82 bp insertion (1 bp deleted and replaced with 83 bp) in the second protein-coding exon, leading to an in-frame stop after 30 codons (15 wild-type codons plus 15 codons from the insertion). Premature translation termination is also predicted to result from two additional fast neutron alleles: ddm1-6 (som4) corresponds to a frameshift (1 bp deletion) in exon 7 and ddm1-7 (som5) is a nonsense mutation in exon 12. All four characterized ddml alleles are expected to destroy or severely reduce gene function.

The wild-type *DDM1* gene encodes a predicted protein of 764 amino acids with a high degree of

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similarity to SWI2/SNF2-like proteins. Members of the SWI2/SNF2 family are involved in various functions, including transcriptional co-activation, transcriptional co-repression, chromatin assembly and DNA repair.

- Underlying these apparently diverse activities is the modification or disruption of protein-DNA interactions by multi-protein complexes which contain SWI2/SNF2-like components. Figure 3 shows an alignment among the deduced amino acid sequences of A. thaliana DDM1 and two mammalian members of the SNF2 family, human SNF2h (SEQ ID
- no:4; Arihara, T. et al., Cytogenet. Cell Genet. 81, 191-193, 1998) and murine LSH (SEQ ID NO:5; lymphocyte specific helicase, LSH; Jarvis, C.D. et al. Gene 169, 203-207, 1996). DDM1 contains the eight sequence motifs
- diagnostic of SWI2/SNF2 family members (Bork, P. & Koonin, E.V. Nucleic Acids Res. 21, 751-752, 1993). A. thaliana DDM1 and human SNF2h share 45 percent identity over the approximately 470 amino acid region comprising the signature motifs. Over a similar region, A. thaliana
- DDM1 and murine LSH display approximately 50 percent identity, omitting the 47 residues (amino acids 276-322) apparently unique to LSH. Initial molecular phylogenetic analysis placed DDM1 in a small subfamily, within the SNF2 family, which contains proteins of unknown function,
- including murine LSH (Eisen, J.A. et al. Nucleic Acids Res. 23, 2715-2723, 1995). The proteins of known function most closely related to DDM1 are involved in chromatin remodeling and are grouped in the SNF2L/ISWI subfamily (Eisen et al., 1995, supra).
- Without intending to be bound by any particular mechanism for the functionality of the *DDM1* gene product, analysis of the foregoing data indicates that the *DDM1* protein functions in the DNA methylation system by

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affecting chromatin structure. Two general models for the DDM1 action are envisioned. The DDM1 protein may function as a transcriptional co-activator, similar to many SWI2/SNF2-like proteins, to increase the expression of a component of the DNA methylation system. 5 not affect DNA methyltransferase expression directly because ddm1 mutant extracts contain wild-type methyltransferase activity (Kakutani et al., 1995, supra). However, an unidentified positive effector of DNA methylation may be a target. Alternatively, wild-type 10 DDM1 function may change chromatin structure to direct certain sequences to the methylation machinery or to facilitate the methylation of genomic substrates. recently discovered interplay between cytosine methylation and histone acetylation , and the association 15 of SWI2/SNF2-like proteins and histone deacetylases in chromatin remodeling complexes, makes it plausible that DDM1 affects DNA methylation through modulation of histone modification or another aspect of chromatin 20 structure. Another possibility is that DDM1 plays a more direct role as a part of a nucleosome remodeling complex that increases the accessibility of the DNA methyltransferase to the hemimethylated substrates in newly replicated chromatin. The latter model is particularly attractive because it predicts that ddm1 25 mutations will preferentially hypomethylate genomic sequences packaged in highly condensed chromatin while causing slower loss of methylation in more accessible sequences, consistent with the observed hypomethylation specificity of ddm1 mutations. The isolation of the 30 Arabidopsis DDM1 gene in accordance with the present invention points to the importance of chromatin dynamics in the maintenance of cytosine methylation patterns and

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identifies a novel component of the eukaryotic DNA methylation pathway.

A number of applications are contemplated for the novel gene of the invention and its encoded protein, and the discovery of the involvement of a SWI2/SNF2-like gene in the eucaryotic DNA methylation system. Such applications are described in greater detail below.

Although the DDM1 genomic clone from Arabidopsis thaliana is described and exemplified herein, 10 this invention is intended to encompass nucleic acid sequences and proteins from other organisms, including plants, yeast, insects and mammals, that are sufficiently similar to be used instead of the Arabidopsis DDM1 nucleic acid and proteins for the purposes described 15 below. These include, but are not limited to, allelic variants and natural mutants of SEQ ID NO:1, which are likely to be found in different species of plants or varieties of Arabidopsis. Because such variants are expected to possess certain differences in nucleotide and 20 amino acid sequence, this invention provides an isolated DDM1 nucleic acid molecule having at least about 60% (preferably 70% and more preferably over 80%) sequence homology in the coding regions with the nucleotide sequence set forth as SEQ ID NO:1 (and, most preferably, 25 specifically comprising the coding region of SEQ ID NO:1). This invention also provides isolated polypeptide products of the open reading frames of SEQ ID NO:1, having at least about 60% (preferably 70% or 80% or greater) sequence homology with the amino acid sequences 30 of SEQ ID NO:2. Because of the natural sequence variation likely to exist among DDM1 genes, one skilled in the art would expect to find up to about 30-40% nucleotide sequence variation, while still maintaining

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the unique properties of the DDM1 gene and encoded polypeptide of the present invention. Such an expectation is due in part to the degeneracy of the genetic code, as well as to the known evolutionary success of conservative amino acid sequence variations, which do not appreciably alter the nature of the encoded protein. Accordingly, such variants are considered substantially the same as one another and are included within the scope of the present invention.

The following description sets forth the general procedures involved in practicing the present invention. To the extent that specific materials are mentioned, it is merely for purposes of illustration and is not intended to limit the invention. Unless otherwise specified, general cloning procedures, such as those set forth in Sambrook et al., Molecular Cloning, Cold Spring Harbor Laboratory (1989) (hereinafter "Sambrook et al.") or Ausubel et al. (eds) Current Protocols in Molecular Biology, John Wiley & Sons (1999) (hereinafter "Ausubel et al.") are used.

A. Preparation of *DDM1* Nucleic Acid Molecules, encoded Polypeptides and Antibodies Specific for the Polypeptides

1. Nucleic Acid Molecules

DDM1 nucleic acid molecules of the invention may be prepared by two general methods: (1) they may be synthesized from appropriate nucleotide triphosphates, or (2) they may be isolated from biological sources. Both methods utilize protocols well known in the art.

The availability of nucleotide sequence information, such as the cDNA having SEQ ID NO:1, enables preparation of an isolated nucleic acid molecule of the

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invention by oligonucleotide synthesis. Synthetic oligonucleotides may be prepared by the phosphoramadite method employed in the Applied Biosystems 38A DNA Synthesizer or similar devices. The resultant construct 5 may be purified according to methods known in the art, such as high performance liquid chromatography (HPLC). Long, double-stranded polynucleotides, such as a DNA molecule of the present invention, must be synthesized in stages, due to the size limitations inherent in current 10 oligonucleotide synthetic methods. Thus, for example, a long double-stranded molecule may be synthesized as several smaller segments of appropriate complementarity. Complementary segments thus produced may be annealed such that each segment possesses appropriate cohesive termini 15 for attachment of an adjacent segment. Adjacent segments may be ligated by annealing cohesive termini in the presence of DNA ligase to construct an entire long double-stranded molecule. A synthetic DNA molecule so constructed may then be cloned and amplified in an 20 appropriate vector.

appropriate biological sources using methods known in the art. In the exemplary embodiment of the invention, the A. thaliana DDM1 clone was isolated from a BAC genomic library of A. thaliana In alternative embodiments, cDNA clones of DDM1 may be isolated. A preferred means for isolating DDM1 genes is PCR amplification using genomic templates and DDM1-specific primers.

In accordance with the present invention,

nucleic acids having the appropriate level sequence
homology with part or all the coding regions of SEQ ID

NO:1 may be identified by using hybridization and washing
conditions of appropriate stringency. For example,

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hybridizations may be performed, according to the method of Sambrook et al., using a hybridization solution comprising: 5X SSC, 5X Denhardt's reagent, 1.0% SDS, 100 µg/ml denatured; fragmented salmon sperm DNA, 0.05% sodium pyrophosphate and up to 50% formamide. Hybridization is carried out at 37-42°C for at least six hours. Following hybridization, filters are washed as follows: (1) 5 minutes at room temperature in 2X SSC and 1% SDS; (2) 15 minutes at room temperature in 2X SSC and 0.1% SDS; (3) 30 minutes-1 hour at 37°C in 2X SSC and 0.1% SDS; (4) 2 hours at 45-55°in 2X SSC and 0.1% SDS, changing the solution every 30 minutes.

One common formula for calculating the stringency conditions required to achieve hybridization between nucleic acid molecules of a specified sequence homology (Sambrook et al., 1989):

 $T_m = 81.5$ °C + 16.6Log [Na+] + 0.41(% G+C) - 0.63 (% formamide) - 600/#bp in duplex

As an illustration of the above formula, using [N+] = [0.368] and 50% formamide, with GC content of 42% and an average probe size of 200 bases, the  $T_m$  is 57°C. The  $T_m$  of a DNA duplex decreases by 1 - 1.5°C with every 1% decrease in homology. Thus, targets with greater than about 75% sequence identity would be observed using a hybridization temperature of 42°C. Such a sequence would be considered substantially homologous to the sequences of the present invention.

Nucleic acids of the present invention may be maintained as DNA in any convenient cloning vector. In a preferred embodiment, clones are maintained in plasmid cloning/expression vector, such as pGEM-T (Promega Biotech, Madison, WI) or pBluescript (Stratagene, La Jolla, CA), either of which is propagated in a suitable

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E. coli host cell.

DDM1 nucleic acid molecules of the invention include cDNA, genomic DNA, RNA, and fragments thereof which may be single- or double-stranded. Thus, this invention provides oligonucleotides (sense or antisense strands of DNA or RNA) having sequences capable of hybridizing with at least one sequence of a nucleic acid molecule of the present invention, such as selected segments of the DNA having SEQ ID NO:1. Such oligonucleotides are useful as probes for detecting DDM1 genes or mRNA in test samples, e.g. by PCR amplification, or for the positive or negative regulation of expression of DDM1 genes at or before translation of the mRNA into proteins.

The DDM1 promoter and other expression regulatory sequences for DDM1 are also expected to be useful in connection with the present invention. SEQ ID NO:1 shows about 550 bp of sequence upstream from the beginning of the coding region, which should contain such expression regulatory sequences. In addition, SEQ ID NO:3 constitutes about 5 kbp of additional upstream sequence, which should contain other regulatory sequences, such as enhancer elements.

#### 25 2. Proteins

Polypeptides encoded by *DDM1* nucleic acids of the invention may be prepared in a variety of ways, according to known methods. If produced *in situ* the polypeptides may be purified from appropriate sources, e.g., plant parts.

Alternatively, the availability of nucleic acid molecules encoding the polypeptides enables production of the proteins using *in vitro* expression methods known in the art. For example, a cDNA or gene may be cloned into

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an appropriate in vitro transcription vector, such a pSP64 or pSP65 for in vitro transcription, followed by cell-free translation in a suitable cell-free translation system, such as wheat germ or rabbit reticulocytes. In vitro transcription and translation systems are commercially available, e.g., from Promega Biotech, Madison, Wisconsin or BRL, Rockville, Maryland.

According to a preferred embodiment, larger quantities of DDM1-encoded polypeptide may be produced by expression in a suitable procaryotic or eucaryotic system. For example, part or all of a DNA molecule, such as the coding portion of SEQ ID NO:1, may be inserted into a plasmid vector adapted for expression in a bacterial cell (such as E. coli) or a yeast cell (such as Saccharomyces cerevisiae), or into a baculovirus vector for expression in an insect cell. Such vectors comprise the regulatory elements necessary for expression of the DNA in the host cell, positioned in such a manner as to permit expression of the DNA in the host cell. Such regulatory elements required for expression include promoter sequences, transcription initiation sequences and, optionally, enhancer sequences.

The DDM1 polypeptide produced by gene expression in a recombinant procaryotic or eucyarotic system may be purified according to methods known in the art. In a preferred embodiment, a commercially available expression/secretion system can be used, whereby the recombinant protein is expressed and thereafter secreted from the host cell, to be easily purified from the surrounding medium. If expression/secretion vectors are not used, an alternative approach involves purifying the recombinant protein by affinity separation, such as by immunological interaction with antibodies that bind specifically to the recombinant protein. Such methods are commonly used by skilled practitioners.

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The DDM1-encoded polypeptides of the invention, prepared by the aforementioned methods, may be analyzed according to standard procedures. Methods for analyzing the functional activity are available. For instance, DNA methylation levels are detectable by known methods. Alternatively, the function of the DDM1 gene product as part of a chromatin remodeling machine permits the use of in vitro assays for chromatin remodeling, which are known in the art (e.g., B.R. Cairns, Trends in Biochem. 23: 20-25, 1998).

The present invention also provides antibodies capable of immunospecifically binding to polypeptides of the invention. Polyclonal or monoclonal antibodies directed toward the polypeptide encoded by DDM1 may be prepared according to standard methods. Monoclonal antibodies may be prepared according to general methods of Köhler and Milstein, following standard protocols. In a preferred embodiment, antibodies are prepared, which react immunospecifically with various epitopes of the DDM1-encoded polypeptides.

# B. Uses of *DDM1* Nucleic Acids, <u>Encoded Proteins and Antibodies</u>

#### 1. <u>DDM1 Nucleic Acids</u>

DDM1 nucleic acids may be used for a variety of purposes in accordance with the present invention. The DNA, RNA, or fragments thereof may be used as probes to detect the presence of and/or expression of DDM1 genes. Methods in which DDM1 nucleic acids may be utilized as probes for such assays include, but are not limited to:

(1) in situ hybridization; (2) Southern hybridization (3) northern hybridization; and (4) assorted amplification reactions such as polymerase chain reactions (PCR).

The *DDM1* nucleic acids of the invention may

also be utilized as probes to identify related genes from

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other species, including but not limited to, plants, yeast, insects and mammals, including humans. As is well known in the art and described above, hybridization stringencies may be adjusted to allow hybridization of nucleic acid probes with complementary sequences of varying degrees of homology. Thus, DDM1 nucleic acids may be used to advantage to identify and characterize other genes of varying degrees of relation to the exemplary coding sequence of SEQ ID NO:1, thereby enabling further characterization of this family of genes. Additionally, they may be used to identify genes encoding proteins that interact with protein encoded by DDM1 (e.g., by the "interaction trap" technique).

As discussed above and in greater detail in 15 Example 1, the similarity among plant DDM1 and its SWI2/SNF2 counterparts in yeast, Drosophila and mammals indicates that the functional aspects of these proteins will also be conserved. Thus, DDM1 is expected to play an important role in DNA methylation and resultant down-20 regulation of gene expression. Plants engineered to over-express DDM1 can be expected to have improved fidelity of the DNA methylation system. The evidence suggests that loss of DDM1 function leads to reduction in the efficiency of maintenance methylation due to reduced 25 accessibility of the methyltransferase enzyme to the substrate. Hence, excess DDM1 function could lead to an increase in the fidelity of the inheritance of DNA methylation thereby reducing the occurrence of spurious methylation mistakes which could compromise the 30 organism's viability or fecundity. In fact, there are experimental data demonstrating that loss of DDM1 function leads to stochastic hypermethylation, and epigenetic lesion formation, as well. For these reasons, DDM1 overexpression lines are expected to have useful 35 properties.

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Transgenic plants expressing the DDM1 gene or antisense nucleotides can be generated using standard plant transformation methods known to those skilled in These include, but are not limited to, 5 Agrobacterium vectors, PEG treatment of protoplasts, biolistic DNA delivery, UV laser microbeam, gemini virus vectors, calcium phosphate treatment of protoplasts, electroporation of isolated protoplasts, agitation of cell suspensions with microbeads coated with the transforming DNA, direct DNA uptake, liposome-mediated 10 DNA uptake, and the like. Such methods have been published in the art. See, e.g., Methods for Plant Molecular Biology (Weissbach & Weissbach, eds., 1988); Methods in Plant Molecular Biology (Schuler & Zielinski, eds., 1989); Plant Molecular Biology Manual (Gelvin, 15 Schilperoort, Verma, eds., 1993); and Methods in Plant Molecular Biology - A Laboratory Manual (Maliga, Klessig, Cashmore, Gruissem & Varner, eds., 1994).

The method of transformation depends upon the plant to be transformed. The biolistic DNA delivery method is useful for nuclear transformation. In another embodiment of the invention, Agrobacterium vectors are used to advantage for efficient transformation of plant nuclei.

In a preferred embodiment, the gene is introduced into plant nuclei in *Agrobacterium* binary vectors. Such vectors include, but are not limited to, BIN19 (Bevan, 1984) and derivatives thereof, the pBI vector series (Jefferson et al., 1987), and binary vectors pGA482 and pGA492 (An, 1986).

The *DDM1* gene may be placed under a powerful constitutive promoter, such as the Cauliflower Mosaic Virus (CaMV) 35S promoter or the figwort mosaic virus 35S promoter. Transgenic plants expressing the *DDM1* gene under an inducible promoter (either its own promoter or a

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heterologous promoter) are also contemplated to be within the scope of the present invention. Inducible plant promoters include the tetracycline repressor/operator controlled promoter.

Using an Agrobacterium binary vector system for transformation, the DDM1 coding region, under control of a constitutive or inducible promoter as described above, is linked to a nuclear drug resistance marker, such as kanamycin resistance. Agrobacterium-mediated transformation of plant nuclei is accomplished according to the following procedure:

- (1) the gene is inserted into the selected Agrobacterium binary vector;
- (2) transformation is accomplished by cocultivation of plant tissue (e.g., leaf discs) with a suspension of recombinant Agrobacterium, followed by incubation (e.g., two days) on growth medium in the absence of the drug used as the selective medium (see, e.g., Horsch et al. 1985);
- 20 (3) plant tissue is then transferred onto the selective medium to identify transformed tissue; and
  - (4) identified transformants are regenerated to intact plants.

It should be recognized that the amount of expression, as well as the tissue specificity of expression of the *DDM1* gene in transformed plants can vary depending on the position of their insertion into the nuclear genome. Such position effects are well known in the art. For this reason, several nuclear transformants should be regenerated and tested for

In some instances, it may be desirable to down-regulate or inhibit expression of endogenous *DDM1* in plants possessing the gene. One clear benefit to engineering a reduction of DDM1 function is to reduce

expression of the transgene.

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gene (including transgene) silencing. Plant lines with reduced or absent DDM1 function are expected to be viable based on results obtained with Arabidopsis. Further, it has been shown that gene silencing is suppressed in ddml Arabidopsis lines (Jeddeloh et al., Genes Devel. 12:1714-1725, 1998). There are two other beneficial characteristics of DDM1 deficient plant lines. First, alteration in DNA methylation leads to changes in flowering time, and as such, is a potentially powerful 10 tool for manipulating plant development. (See, e.g., Richards, Trends in Genetics 13: 319-323, 1998), Second, ddm1 mutant lines exhibit inbreeding depression (a reduction in vigor after inbreeding) (Richards, Trends in Genetics, 1998, supra), a characteristic which may be desirable to include in situations where proprietary 15 germplasms in hybrid plants are at risk of unauthorized use. For instance, a genetically engineered hybrid (containing one or more useful transgenes) could be further engineered to down-regulate endogenous DDM1 20 expression. Unauthorized inbreeding of such lines would be discouraged because the progeny of such lines would lack vigor.

To achieve the aforementioned benefits associated with reduced gene expression, DDM1 nucleic 25 acid molecules, or fragments thereof, may also be utilized to control the production of DDM1-encoded proteins. In one embodiment, full-length DDM1 antisense molecules or antisense oligonucleotides, targeted to specific regions of DDM1-encoded RNA that are critical 30 for translation, are used. The use of antisense molecules to decrease expression levels of a predetermined gene is known in the art. In a preferred embodiment, antisense molecules are provided in situ by transforming plant cells with a DNA construct which, upon

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transcription, produces the antisense sequences. Such constructs can be designed to produce full-length or partial antisense sequences.

In another embodiment, overexpression of *DDM1* is induced to generate a co-suppression effect. This excess expression serves to promote down-regulation of both endogenous and exogenous *DDM1* genes.

Optionally, transgenic plants can be created containing mutations in the region encoding the active site of *DDM1*. This embodiment may be preferred in certain instances.

From the foregoing discussion, it can be seen that DDM1 and its homologs will be useful for introducing alterations in gene expression in an organism, for a variety of purposes. As described above, for instance, 15 the Arabidopsis DDM1 gene can be used to isolate mutants or engineer organisms that express reduced function of DDM1 orthologs. Based on results in Arabidopsis, such mutants or engineered organisms are expected to be viable and display valuable characteristics, such as inbreeding 20 depression and a reduction in gene silencing. addition, we anticipate that dysfunction in human DDM1 orthologs may contribute to diseases that involve alterations in DNA methylation, including cancer (Baylin, 25 S.B. et al., Adv. Cancer Res. 72: 141-196, 1998) and immunodeficiency/ chromosome instability/facial anomalies syndrome (ICF) (Smeets, D.F.C.M. et al., Hum. Genet. 94: 240-246, 1994).

#### 2. DDM1 Proteins and Antibodies

Purified DDM1-encoded proteins, or fragments thereof, may be used to produce polyclonal or monoclonal antibodies which also may serve as sensitive detection reagents for the presence and accumulation of DDM1-encoded

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protein in cultured cells or tissues and in intact organisms. Recombinant techniques enable expression of fusion proteins containing part or all of the DDM1-encoded protein. The full length protein or fragments of the protein may be used to advantage to generate an array of monoclonal or polyclonal antibodies specific for various epitopes of the protein, thereby providing even greater sensitivity for detection of the protein in cells or tissue.

DDM1 gene products also may be useful as pharmaceutical agents if it is determined that DDM1 loss of function plays a role in carcinogenesis, as mentioned above. The gene products could be administered as replacement therapy for persons having neoplasias associated with DDM1 loss of function.

Polyclonal or monoclonal antibodies immunologically specific for *DDM1*-encoded proteins may be used in a variety of assays designed to detect and quantitate the protein. Such assays include, but are not limited to: (1) flow cytometric analysis; (2) immunochemical localization in cultured cells or tissues; and (3) immunoblot analysis (e.g., dot blot, Western blot) of extracts from various cells and tissues.

Polyclonal or monoclonal antibodies that

immunospecifically interact with the polypeptide encoded
by DDM1 can be utilized for identifying and purifying
such proteins. For example, antibodies may be utilized
for affinity separation of proteins with which they
immunospecifically interact. Antibodies may also be used
to immunoprecipitate proteins from a sample containing a
mixture of proteins and other biological molecules.

The following specific examples are provided to illustrate embodiments of the invention. They are not intended to limit the scope of the invention in any way.

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# EXAMPLE 1 Map-Based Isolation of the Arabidopsis thaliana DDM1 Gene

Construction of recombination breakpoint lines.

The recombination breakpoint lines were assembled in the F3 generation from a parental cross between YI DDM1 10 ABA/YI ddm1-2 ABA (Columbia strain (Col)) and yi DDM1 aba/yi DDM1 aba (Landsberg erecta strain (La er)). The recessive yi mutation leads to a yellow. inflorescence. The recessive aba mutation causes a defect 15 in abscisic acid biosynthesis and a wilting phenotype. Information on genetic markers and the A. thaliana genetic map can be found at: http://genomewww.stanford.edu/Arabidopsis/. Selfed seeds from F1 YI ddm1-2 ABA/yi DDM1 aba plants were collected and 135 20 F2 recombinants (yi ABA, yellow inflorescence, nonwilting; or YI aba: green inflorescence, wilting) were identified. Selfed seeds from 111 of the 135 recombinant F2 individuals were planted to generate F3 tissue for genomic DNA preparation. The genotype at the DDM1 locus 25 was scored in the F3 generation by Southern blot analysis using methylation-sensitive endonucleases as described previously (Vongs, A., Kakutani, T., Martienssen, R.A. & Richards, E.J., Science 260: 1926-1928, 1993).

Molecular markers. Two of the molecular

markers shown in Figure 1 were available from the

Arabidopsis research community: g4510 (Arabidopsis

Biological Resource Center (ABRC) stock# CD2-38) and

mi335 (ABRC stock# CD3-288). The remainder of the

molecular markers shown in Figure 1 were developed in

accordance with the present invention. sT10D21Bam is an

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insert end subclone of the BAC (bacterial artificial chromosome) clone T10D21 constructed by complete cleavage with BamHI and recircularization. sT10D21Bam recognizes a Col/La er PstI RFLP (restriction fragment length polymorphism). Molecular marker A is an XbaI Col/La er RFLP marker recognized by a 5.7 kb HindIII fragment of the C38 cosmid insert. Marker B is a RsaI Col/La er CAPS marker (Koneiczny & Ausubel, Plant J. 4: 403-410, 1993) (forward primer: 5'-TCAAGGAGATGATTCGGGCGT-3', SEQ ID NO: 6; reverse primer: 5'-AAAGGACCCATTTACAGAACAC-3', SEQ ID NO:7). The remaining markers, C and D, correspond to RFLP's (BclI and PstI, respectively) recognized by the succinate dehydrogenase cDNA clone, 105N23T7 (ABRC stock# 105N23T7).

15 Genomic library construction and screening. screened the available A. thaliana BAC genomic libraries by standard colony hybridization techniques using radiolabeled 105N23T7 insert as a probe. The clone we subsequently focused upon, T10D21, came from the Texas A&M University BAC library (Choi et al., Weeds World 2: 20 17-20, 1995). To facilitate subsequent analysis, we cloned Sau3AI partially digested fragments from the T10D21 insert into the BamHI site of SuperCos (Stratagene). We chose to further characterize one 25 member of the resulting cosmid sublibrary, C38, which contained genetic markers that flanked ddm1-2. cosmid was submitted on April 20, 1999, under the provisions of the Budapest Treaty, with the American Type Culture Collection (Manassas VA), and assigned ATCC 30 Accession No. 207208.

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#### EXAMPLE 2

## DDM1 Gene Structure and Identification; Sequence Determination of DDM1 Gene

5 DNA sequence determination. C38 cosmid (~45 kb) DNA, prepared using Qiagen columns and protocols, was sonicated and 1-2 kb fragments isolated from a lowmelting temperature agarose gel. The size-selected DNA was cloned into the SmaI site of a M13mp18 vector to generate a shotgun library suitable for DNA sequence 10 determination. Single-stranded substrates were prepared and sequenced using conventional dye-terminator cycle sequencing protocols (Perkin-Elmer) on either an ABI 373 or ABI 377 automated DNA sequencer. The DNA sequence of 15 the ddm1 alleles was determined using PCR-amplified templates and oligonucleotide primers dispersed throughout the DDM1 gene. Sequence assembly and analysis were accomplished using Phred/Phrap/Consed (http://www.mbt.washington.edu/) and DNASTAR software 20 suites.

RT-PCR cDNA analysis. DDM1 gene structure was determined by analysis of the genomic DNA sequence and the nucleotide sequence of RT-PCR (reverse transcriptionpolymerase chain reaction) products encompassing the 25 coding region. DDM1 and ddm1-2 transcripts were analyzed by RT-PCR as follows. Total RNA was prepared using the Qiagen RNeasy™ protocol. Poly(A)+ transcripts were collected on oligo-d(T)<sub>25</sub> magnetic Dynabeads (Dynal) and first-strand cDNA synthesis performed following Dynal 30 protocols using Stratascript (Stratagene) reverse transcriptase. Aliquots of the bead-immobilized firststrand cDNA library were used as templates for PCR amplification using KlenTaqI polymerase (Clontech). following oligonucleotide primers were used for the RT-35 PCR experiment shown in Fig. 2b: forward, 5'-GCTGGAAGGGAAAGCTTAACAACC-3' (SEQ ID NO:8); reverse,

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5'-ACACTGCCATCGATTCTGCAAACC-3' (SEQ ID NO:9).

GenBank accession numbers and SEQ ID NOS.

Arabidopsis DDM1 genomic DNA sequence: SEQ ID NO:1;
Arabidopsis DDM1 deduced amino acid sequence: SEQ ID NO:2;
Arabidopsis DDM1 5' upstream genomic DNA sequence: SEQ ID

NO:3;

Mus musculus lymphocyte specific helicase (LSH); Genbank

Accession No. AAB08015; SEQ ID NO:4;

Homo sapiens SNF2h; Genbank Accession No. AB010882; SEQ

10 ID NO:5;

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succinate dehydrogenase cDNA 105N23T7, T22529; primers: SEQ ID NOS: 6-9.

While certain of the preferred embodiments of
the present invention have been described and
specifically exemplified above, it is not intended that
the invention be limited to such embodiments. Various
modifications may be made thereto without departing from
the scope and spirit of the present invention, as set
forth in the following claims.

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						960
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Ser	Leu	Tyr 195	Glu	Asn	Gly	Ile	Asn 200	Gly		Leu	Ala	Asp 205	Glu	Met	Gly
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225			Ile		230					235			=		240
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			Leu 260					265					270	Val	_
	-	275	Leu				280					285			
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			Val	325					330					335	
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			Lys	485					490					495	
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#### We claim:

- 1. An isolated nucleic acid molecule comprising a gene located on Arabidopsis thaliana

  5 chromosome 5, lower arm, said gene occupying a segment of said chromosome 5, lower arm, flanked on the centromeric side within 20 kilobases by a gene encoding a zinc-finger protein and on the telomeric side within 1 kilobase by a gene encoding a glutamic acid tRNA, the disruption of said gene being associated with DNA hypomethylation.
- The nucleic acid molecule of claim 1, wherein said gene is composed of exons that form an open reading frame having a sequence that encodes a
   polypeptide about 750-850 amino acids in length.
  - 3. A cDNA molecule comprising the exons of the nucleic acid molecule of claim 2.
- 4. The nucleic acid molecule of claim 2, wherein said open reading frame encodes an amino acid sequence substantially the same as SEQ ID NO:2.
- 5. The nucleic acid molecule of claim 4,
  wherein said open reading frame encodes amino acid SEQ ID
  NO:2.
  - 6. The nucleic acid molecule of claim 5, which comprises an open reading frame of SEQ ID NO:1.
  - 7. A recombinant DNA molecule, comprising a vector having an insert that includes the nucleic acid molecule of claim 1.
- The recombinant DNA molecule of claim 7,

which is cosmid C38, ATCC Accession No. 207208.

- 9. An oligonucleotide between about 10 and 100 nucleotides in length, which specifically hybridizes with a portion of the nucleic acid molecule of claim 1.
  - 10. An isolated nucleic acid molecule which is a gene, the disruption of which is associated with DNA hypomethylation, having a sequence selected from the group consisting of:
    - a) SEQ ID NO:1;
  - b) an allelic variant or natural mutant of SEQ ID NO:1;
- c) a sequence hybridizing with part or all of SEQ ID NO:1 or its complement and encoding a polypeptide substantially the same as part or all of a polypeptide encoded by SEQ ID NO:1;
  - d) a sequence encoding part or all of a polypeptide having amino acid SEQ ID NO:2; and
- e) a sequence encoding part or all of a polypeptide contained in the cosmid clone C38, designated ATCC Accession No. 207208.
- isolated nucleic acid molecule comprising part or all of an open reading frame of a gene located on Arabidopsis thaliana chromosome 5, lower arm, said gene occupying a segment of said chromosome 5, lower arm, flanked on the centromeric side within 20 kilobases by a gene encoding a zinc-finger protein and on the telomeric side within 1 kilobase by a gene encoding a glutamic acid tRNA, the disruption of said gene being associated with DNA hypomethylation.
- 35 12. The polypeptide of claim 11, produced by

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expression of a sequence selected from the group consisting of:

- a) SEQ ID NO:1;
- b) an allelic variant or natural mutant of SEQ ID NO:1;
- c) a sequence hybridizing with part or all of SEQ ID NO:1 or its complement and encoding a polypeptide substantially the same as part or all of a polypeptide encoded by SEQ ID NO:1;
- d) a sequence encoding part or all of a polypeptide having amino acid SEQ ID NO:2; and
  - e) a sequence encoding part or all of a polypeptide contained in the clone designated ATCC Accession No. 207208.

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- 13. The polypeptide of claim 11, having the amino acid sequence of part or all of SEQ ID NO:2.
- 14. An antibody immunologically specific for the polypeptide of claim 11.
  - 15. An isolated nucleic acid molecule having a sequence substantially the same as SEQ ID NO:3.
- 25

  16. An isolated protein encoded by an

  Arabidopsis thaliana gene, said protein being a member of
  an SWI2/SNF2 family of polypeptides, loss of function of
  said protein being associated with DNA hypomethylation.
- 17. The protein of claim 16, encoded by a gene located on A. thaliana chromosome 5, lower arm, centromerically flanked within 20 kilobases by a zincfinger-encoding gene and telomerically within one kilobase by a gene encoding a glutamic acid tRNA.

18. The protein of claim 16, encoded by a DNA segment on a recombinant cosmid C38, having ATCC Accession No. 207208.

- 19. The protein of claim 16, having amino acid SEQ ID NO:2.
- 20. A transgenic organism comprising the nucleic acid molecule of claim 1.
  - 21. The transgenic organism of claim 20, which is a plant.
- 22. A method of stabilizing fidelity of DNA methylation in an organism, comprising transforming the organism with the nucleic acid molecule of claim 1.
- 23. A method of reducing or eliminating gene 20 silencing in a plant, comprising inhibiting or preventing expression of an endogenous *DDM1* gene of the plant.
- 24. A method of introducing inbreeding depression in a plant, comprising inhibiting or
   25 preventing expression of an endogenous DDM1 gene of the plant.

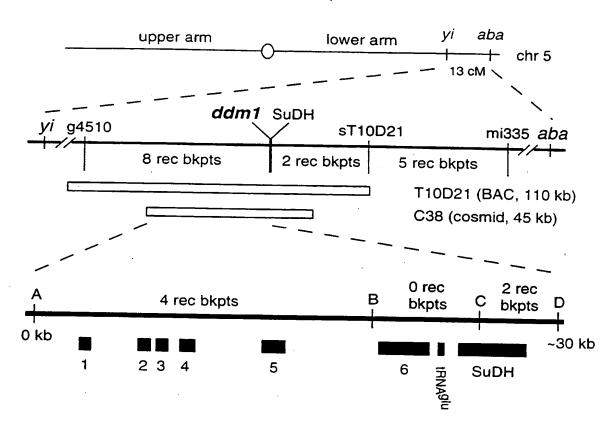


Figure 1

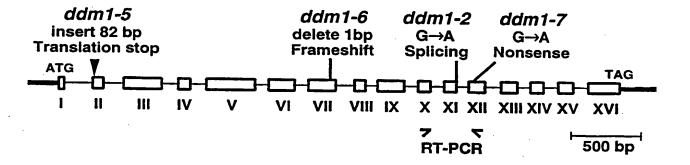


Fig. 2A

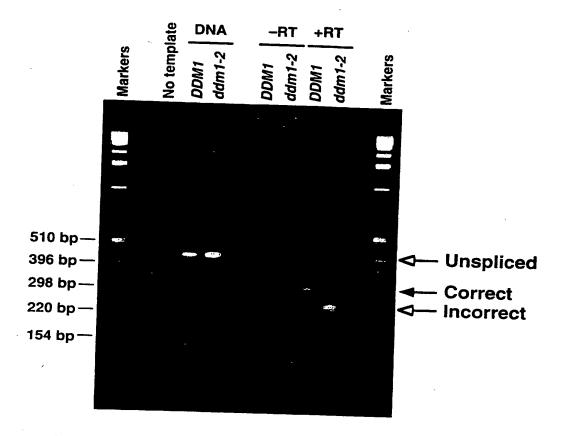


Fig. 2B

**SUBSTITUTE SHEET (RULE 26)** 

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International Application No PC1/US 99/09268

A. CLASSI	IFICATION OF SUBJECT MATTER C12N15/82 C07K14/415 C07K		77 0 3 2 0 8		
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	cited in the application the whole document	•			
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X Furth	ner documents are listed in the continuation of box C.	X Patent family members are listed	in annex.		
° Special cat	tegories of cited documents :				
"A" docume	nt defining the general state of the art which is not	"T" later document published after the inte or priority date and not in conflict with	the application but		
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Which is	nt which may throw doubts on priority claim(s) or s cited to establish the publication date of another or other special reason (as specified)	involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention			
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"P" documer	nt published prior to the international filling date but	ments, such combination being obviou in the art.	s to a person skilled		
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2	September 1999	15/09/1999			
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	European Patent Office, P.B. 5818 Patentlaan 2 NL ~ 2280 HV Rijswijk				
	Tel. (+31-70) 340-2040. Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Holtorf, S	,		
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